

# The Polynomial-IOP Vision of the Latest MPCitH Frameworks for Signature Schemes

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*ACCESS Seminar*

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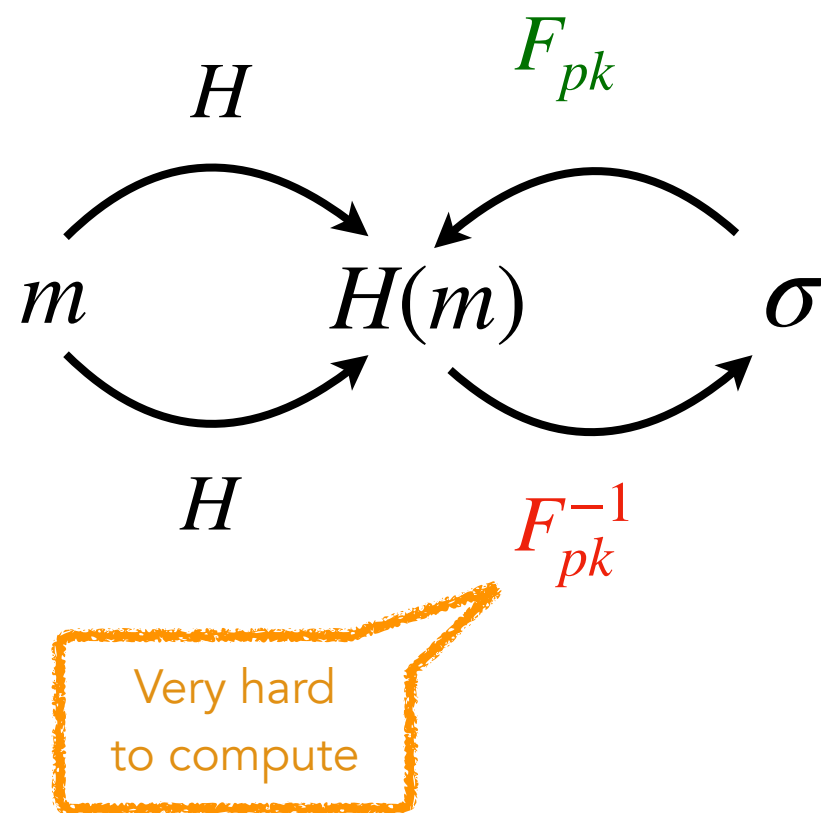
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- The TCitH and VOLEitH frameworks, in the PIOP formalism
  - Polynomial IOP
  - Committing to polynomials
- Building signatures
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# Introduction

# How to build signature schemes?

## Hash & Sign

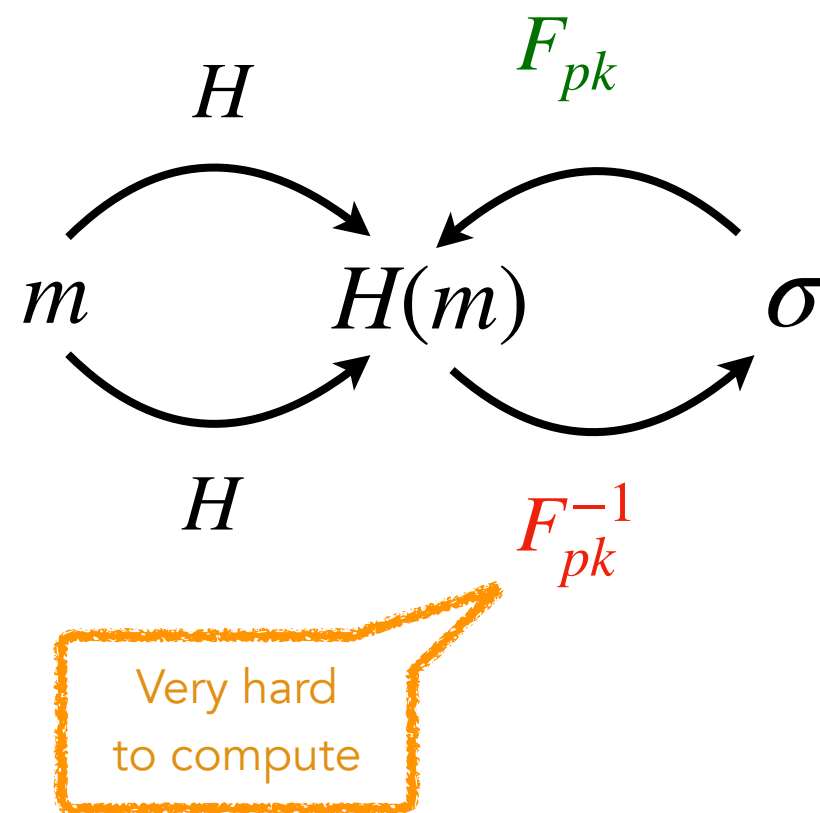


- Short signatures
- “Trapdoor” in the public key



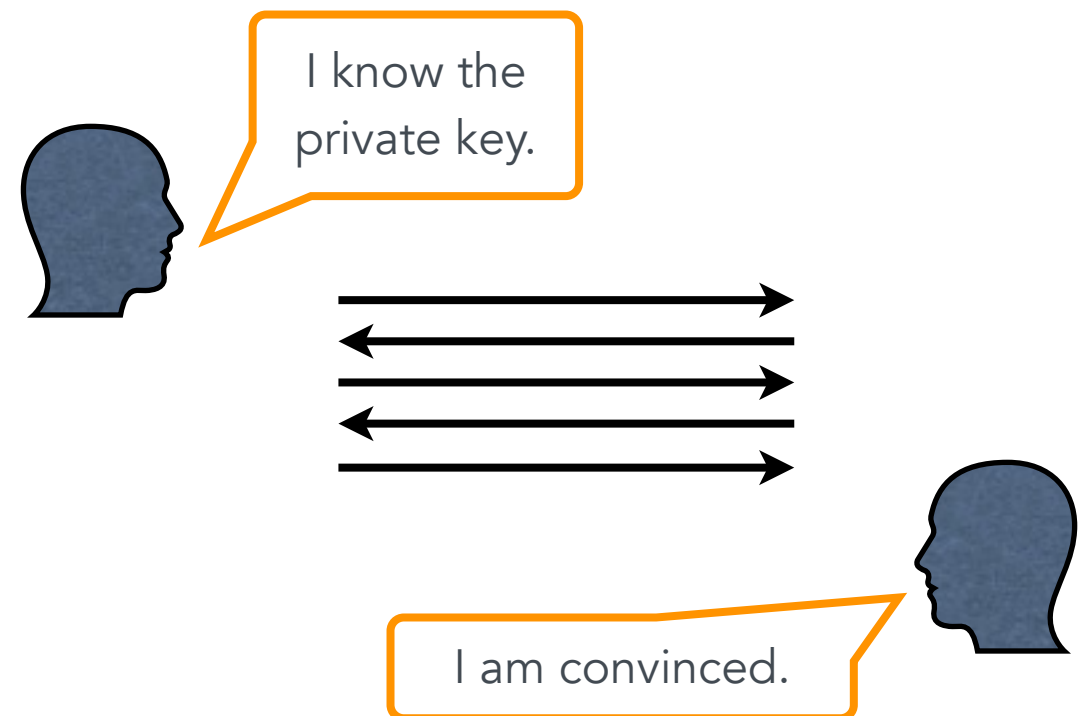
# How to build signature schemes?

## Hash & Sign



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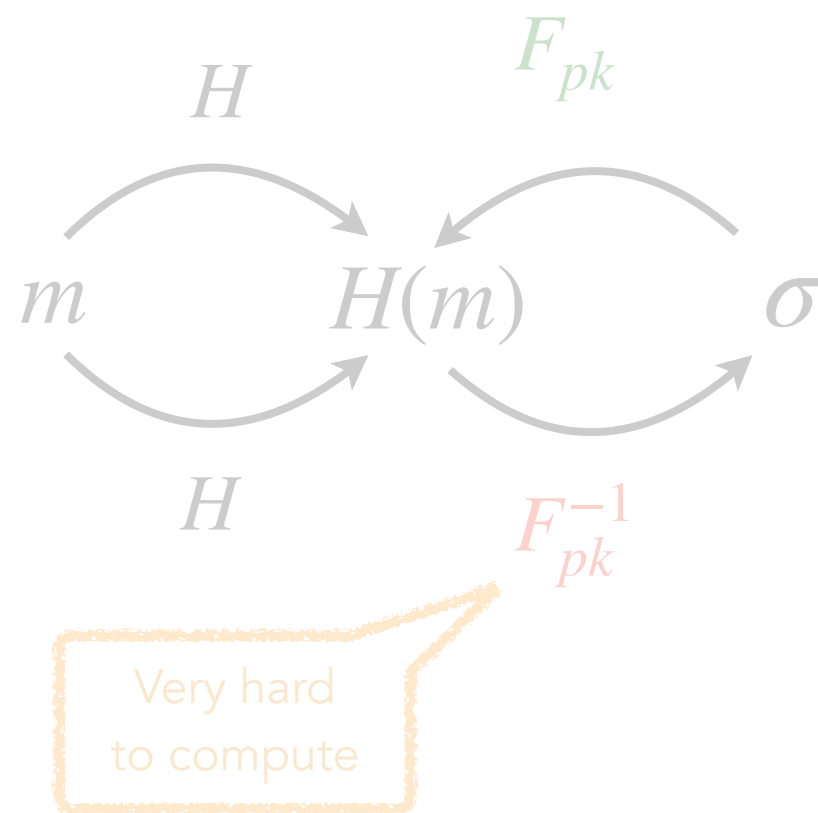
## From an identification scheme



- Large(r) signatures
- Short public key

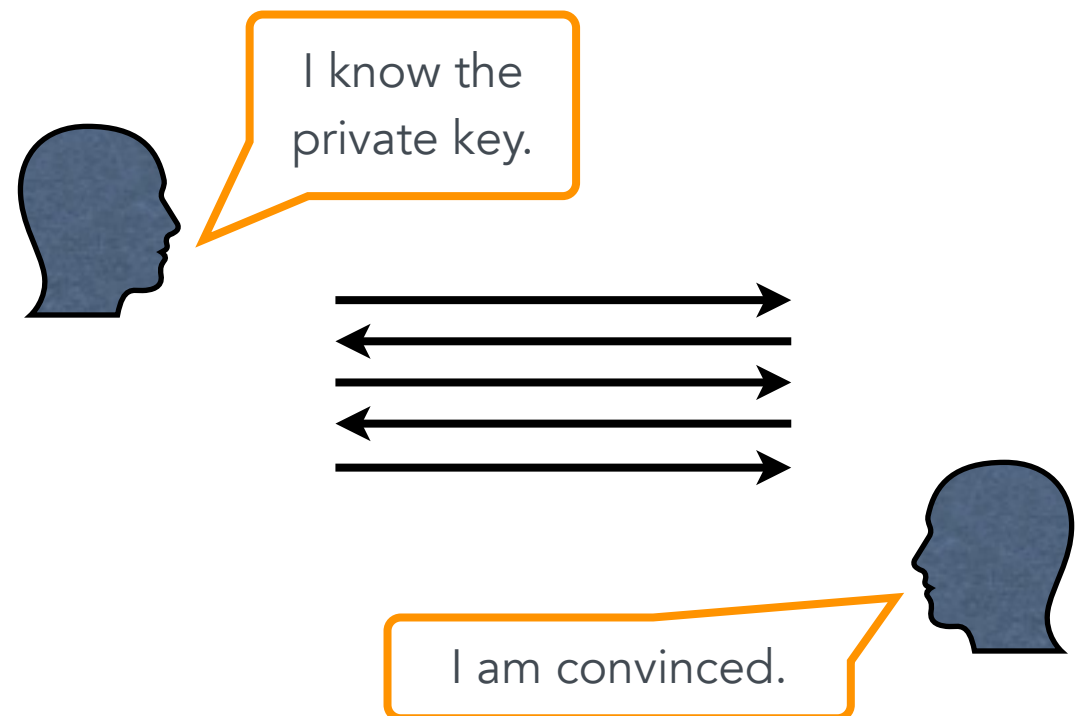
# How to build signature schemes?

## Hash & Sign



- Short signatures
- “Trapdoor” in the public key


## From an identification scheme



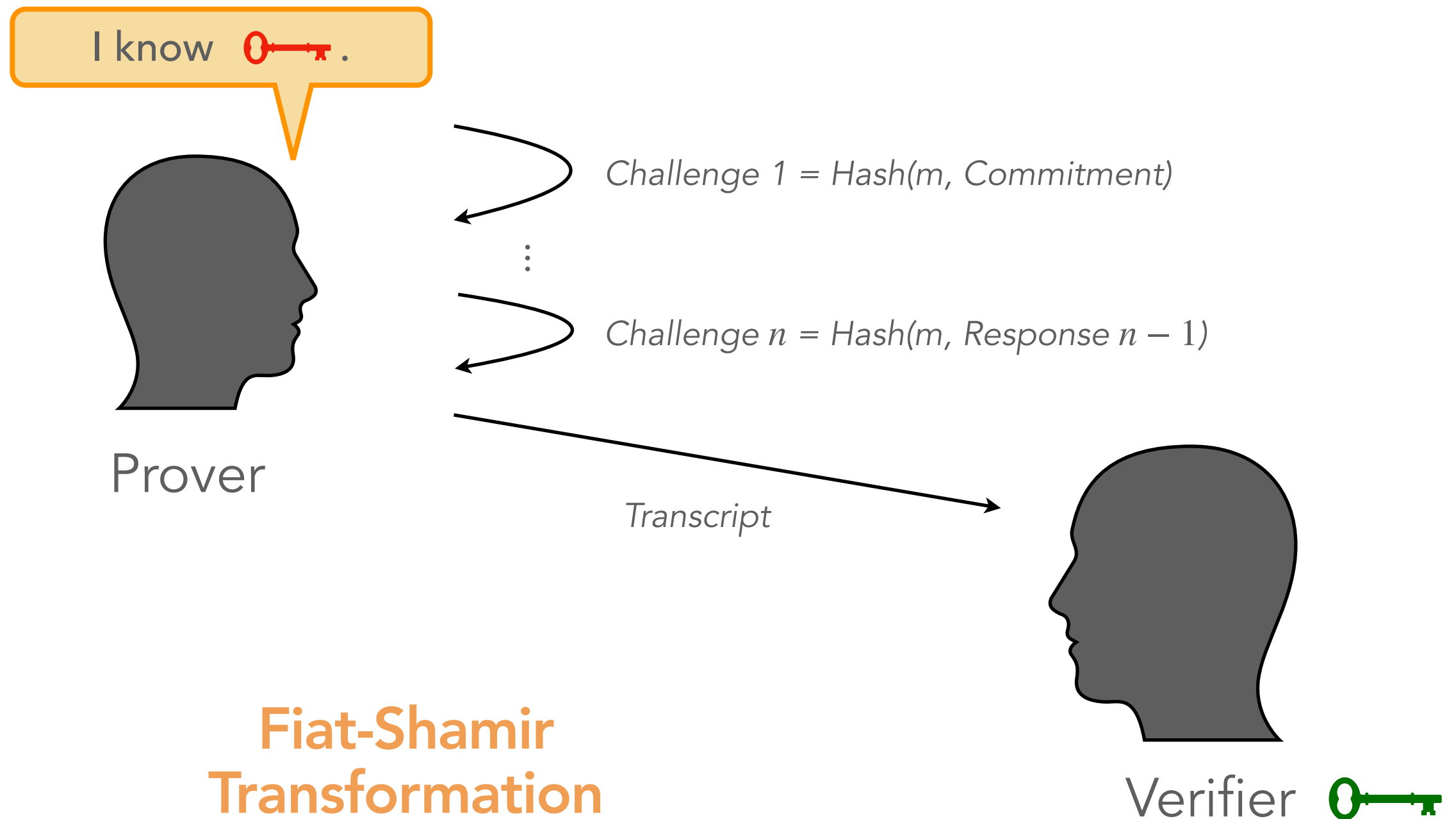
- Large(r) signatures
- Short public key

# Identification Scheme



- **Completeness:**  $\Pr[\text{verif } \checkmark \mid \text{honest prover}] = 1$
- **Soundness:**  $\Pr[\text{verif } \checkmark \mid \text{malicious prover}] \leq \varepsilon$  (e.g.  $2^{-128}$ )
- **Zero-knowledge:** verifier learns nothing on .

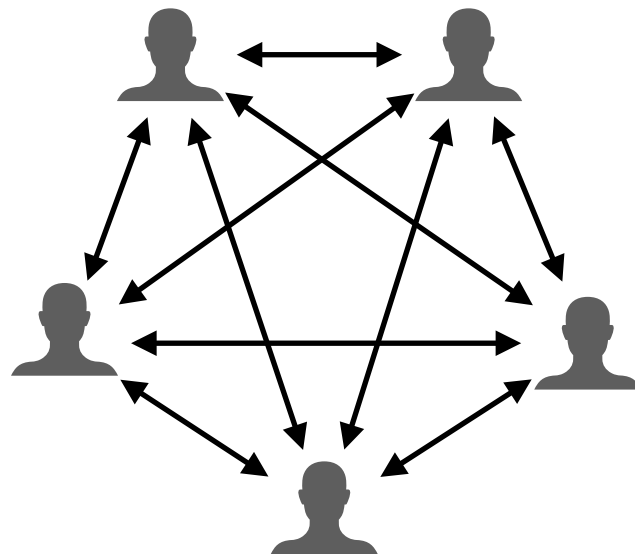
# Identification Scheme



$m$ : message to sign

# MPC in the Head

- **[IKOS07]** Yuval Ishai, Eyal Kushilevitz, Rafail Ostrovsky, Amit Sahai:  
“Zero-knowledge from secure multiparty computation” (STOC 2007)
- Turn a *multiparty computation* (MPC) into an identification scheme / zero-knowledge proof of knowledge



- **Generic:** can be applied to any cryptographic problem

# MPC in the Head

- **[IKOS07]** Yuval Ishai, Eyal Kushilevitz, Rafail Ostrovsky, Amit Sahai: “Zero-knowledge from secure multiparty computation” (STOC 2007)
- Convenient to build (candidate) post-quantum signature schemes
- **Picnic**: submission to NIST (2017)
- First round of recent NIST call: 7~9 MPCitH schemes / 40 submissions

*AIMer*  
*Biscuit*  
*FAEST*  
*MIRA*  
*MiRitH*

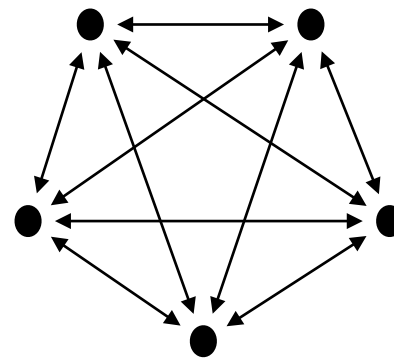
*MQOM*  
*PERK*  
*RYDE*  
*SDitH*

### One-way function

$$F : x \mapsto y$$

E.g. AES, MQ system,  
Syndrome decoding

### Multiparty computation (MPC)

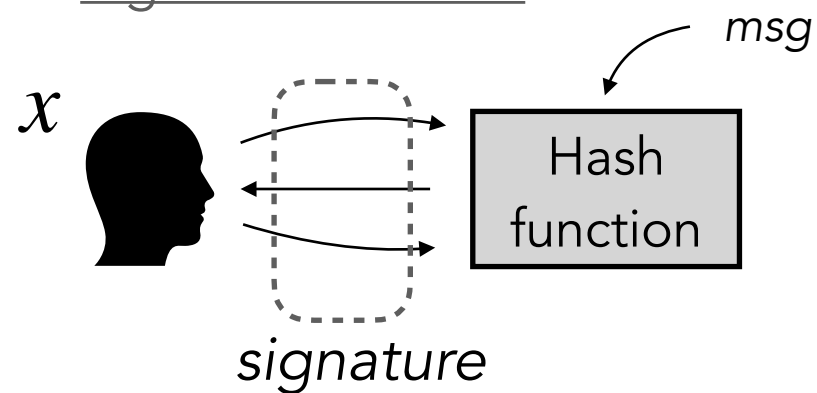


Input sharing  $\llbracket x \rrbracket$

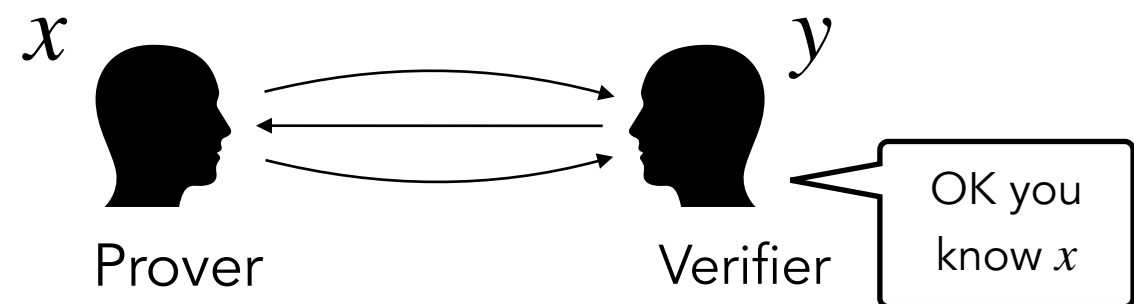
Joint evaluation of:

$$g(x) = \begin{cases} \text{Accept} & \text{if } F(x) = y \\ \text{Reject} & \text{if } F(x) \neq y \end{cases}$$

### Signature scheme



### Zero-knowledge proof

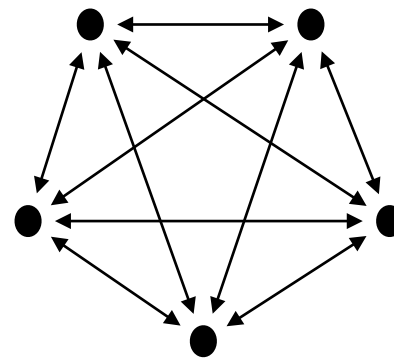


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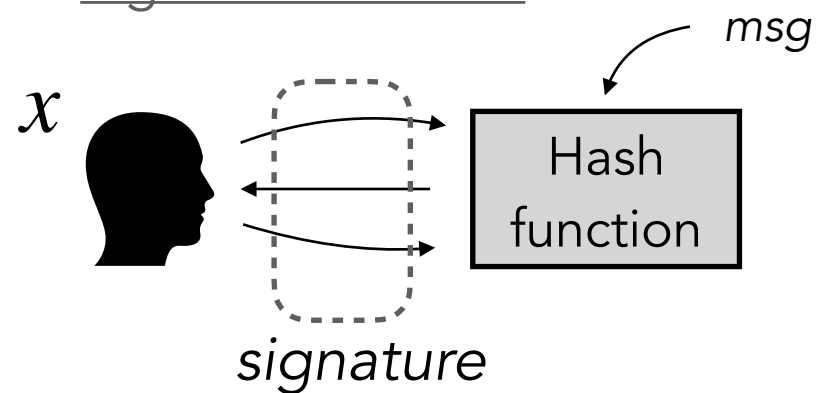
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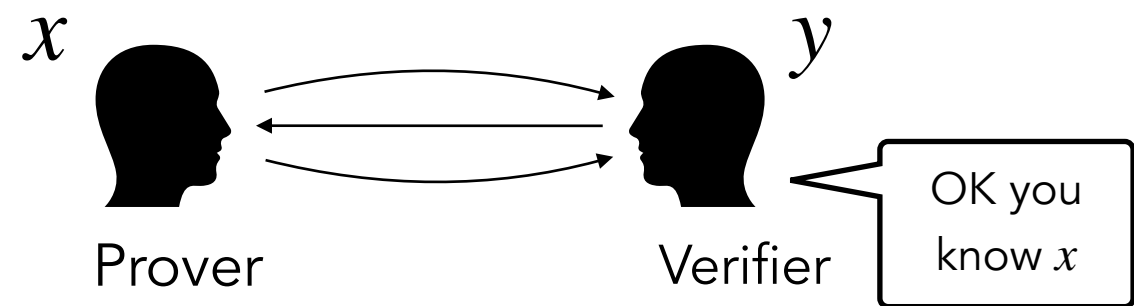
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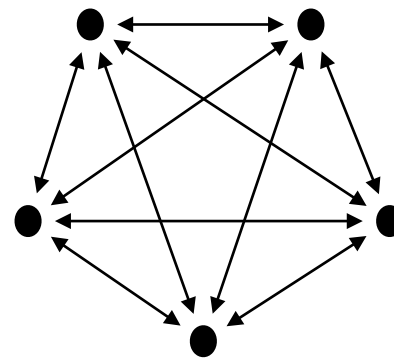


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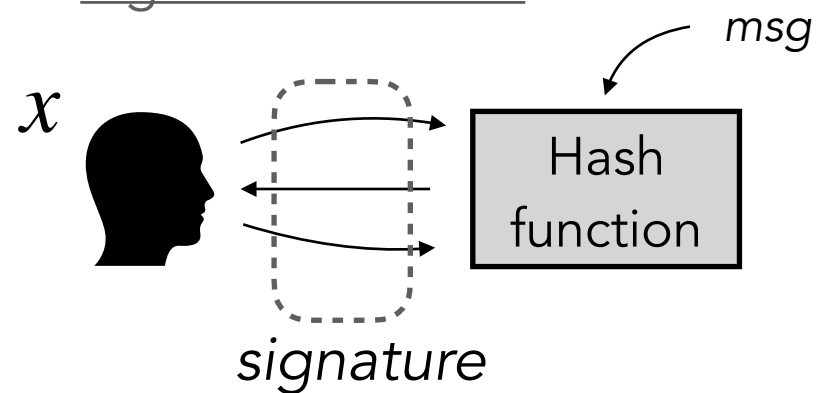


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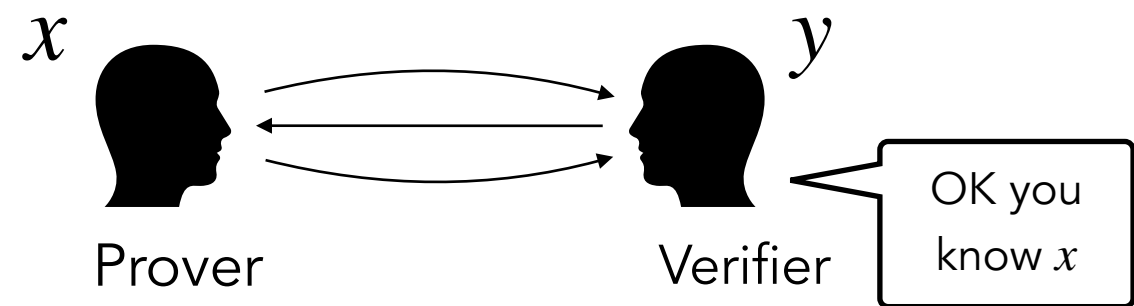
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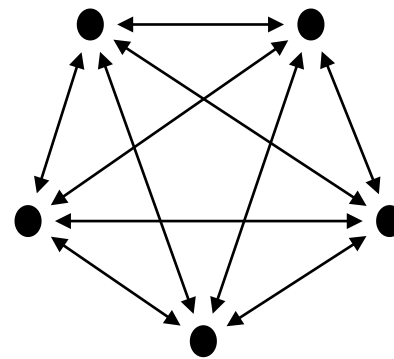


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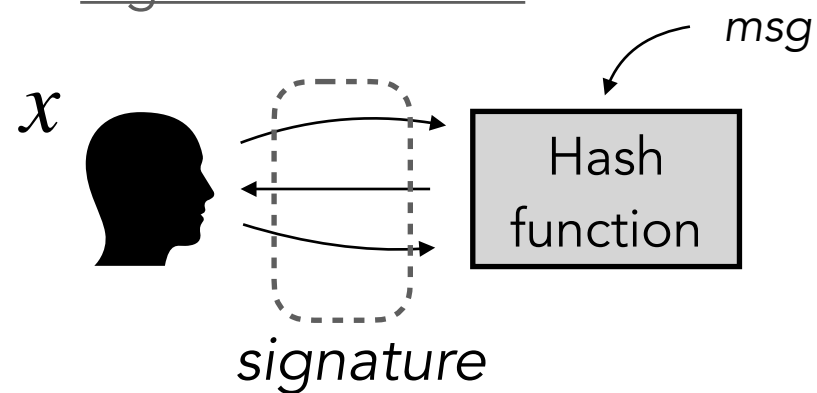
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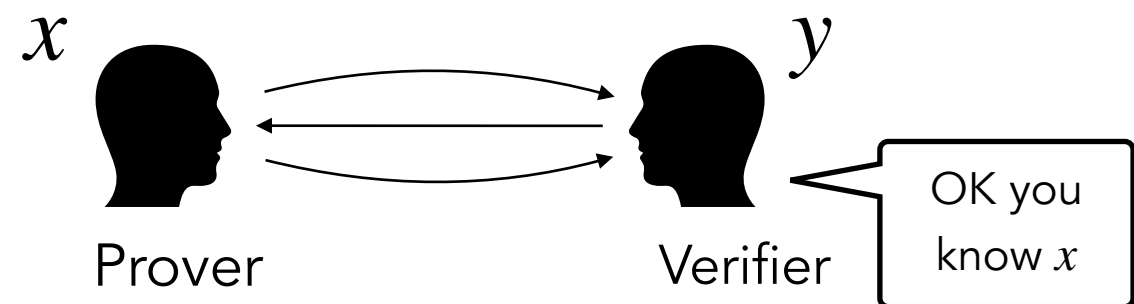
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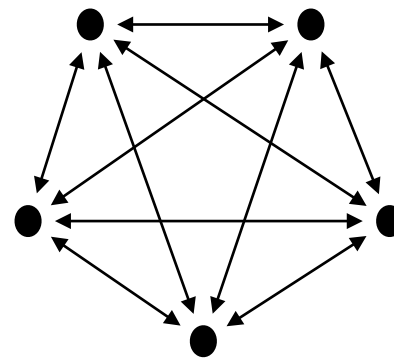


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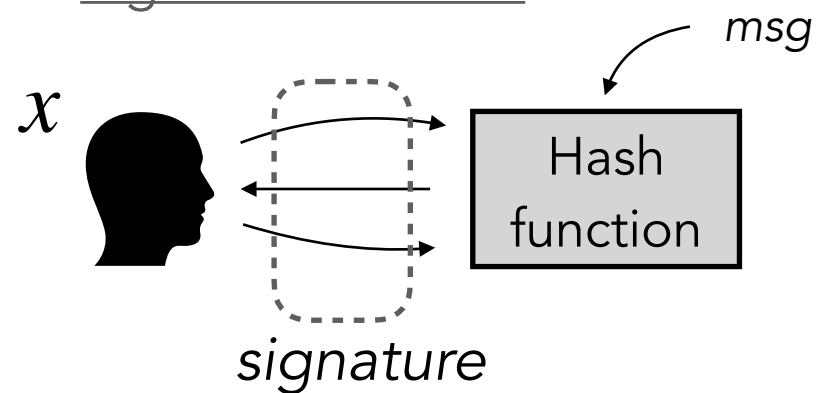


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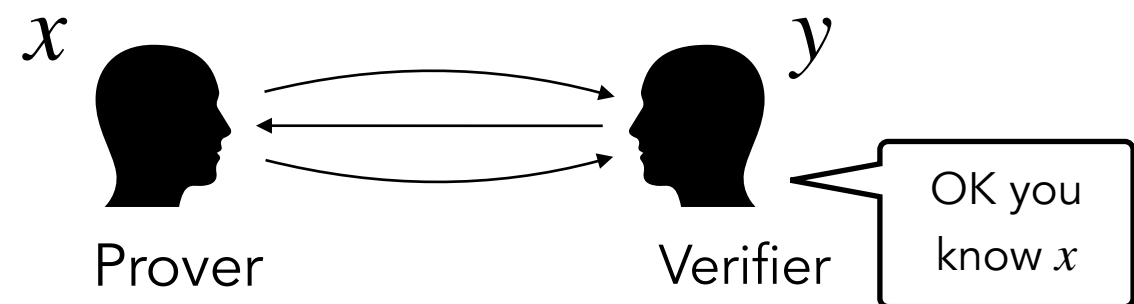
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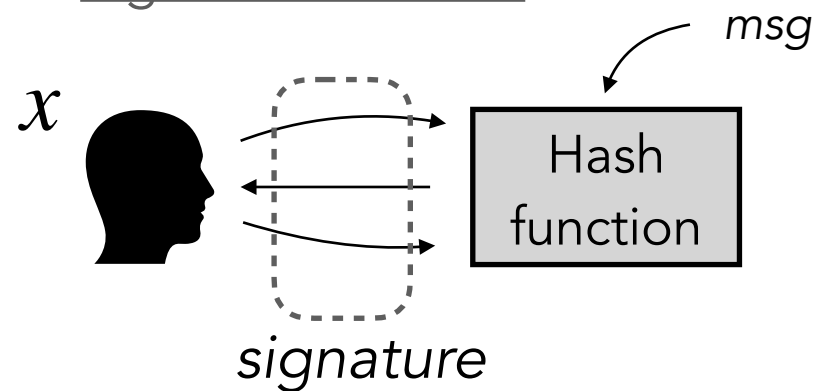


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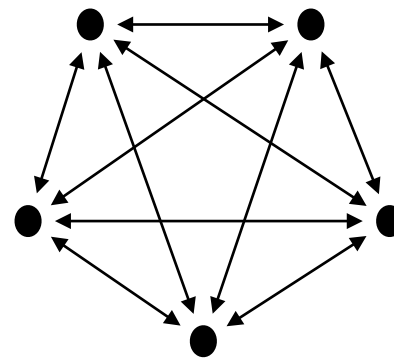
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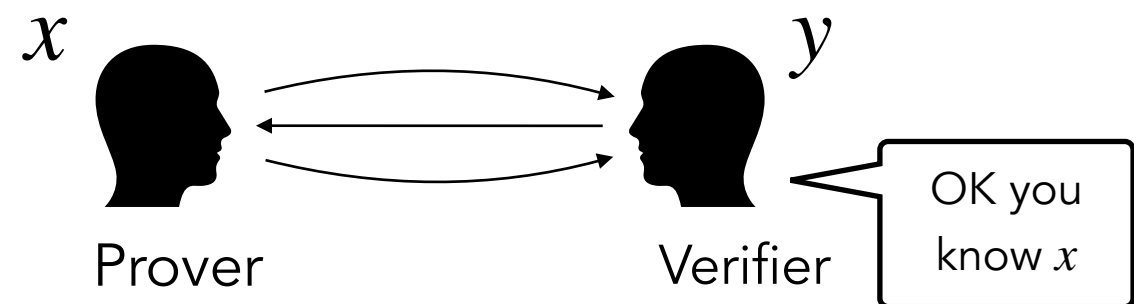


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### ***MPC-in-the-Head transform***

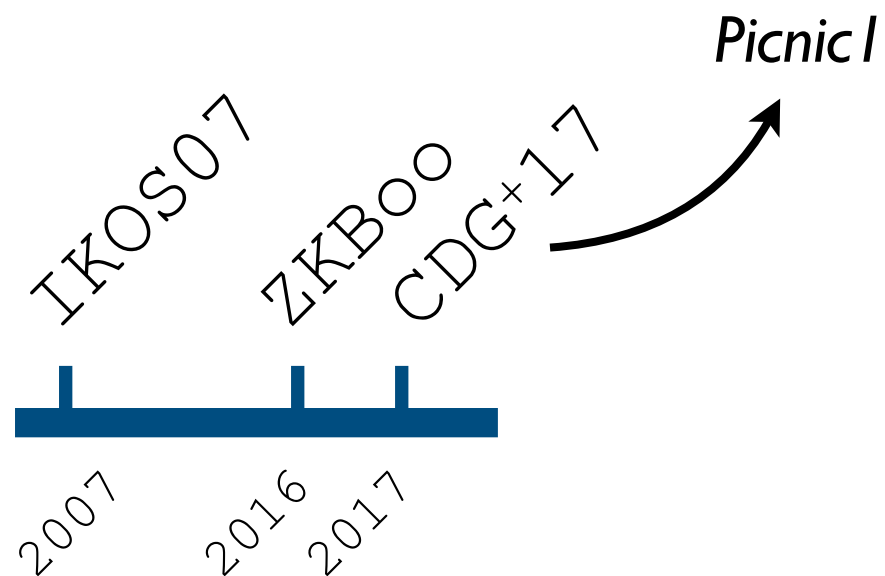
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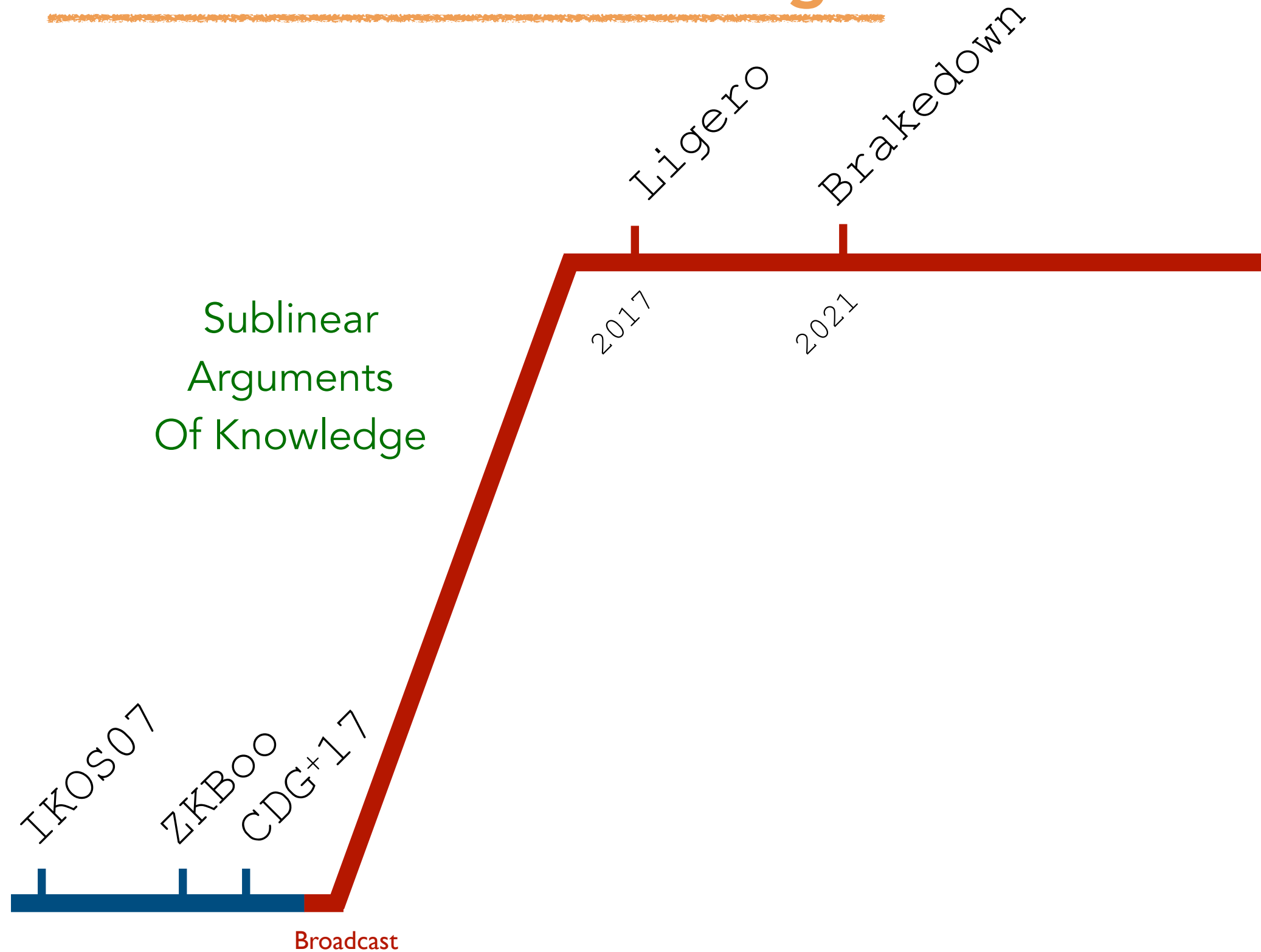
# MPCitH for signature schemes

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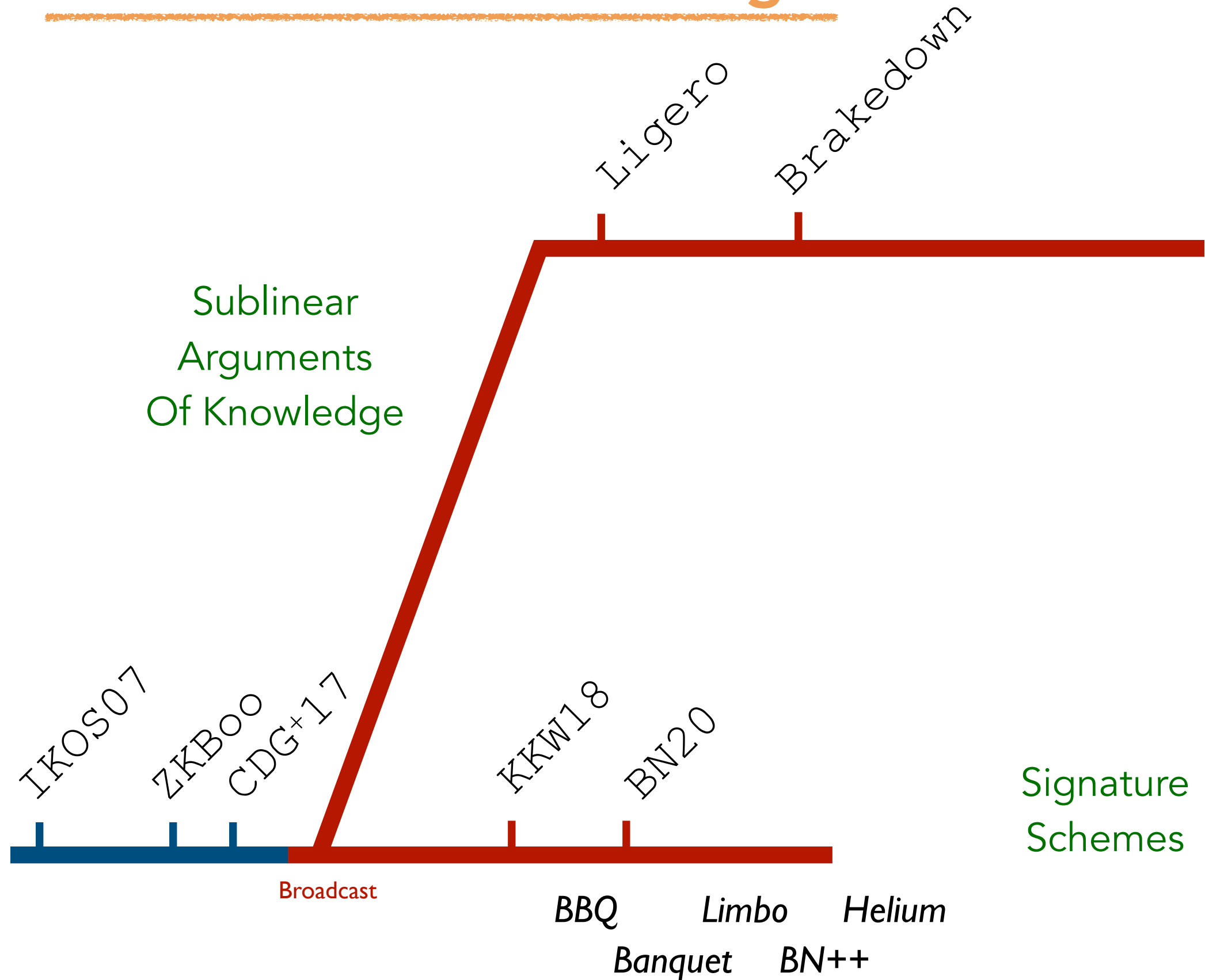
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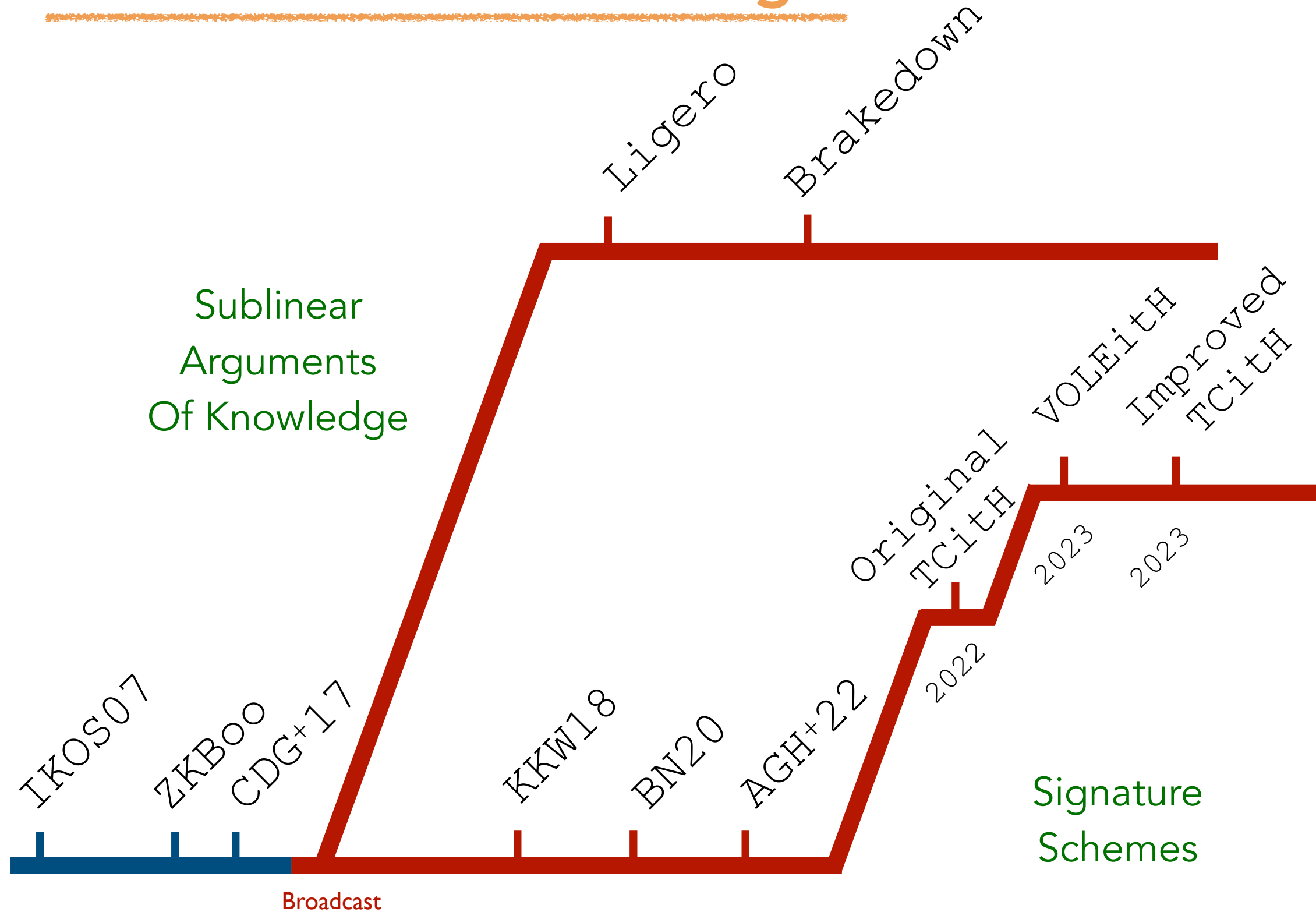


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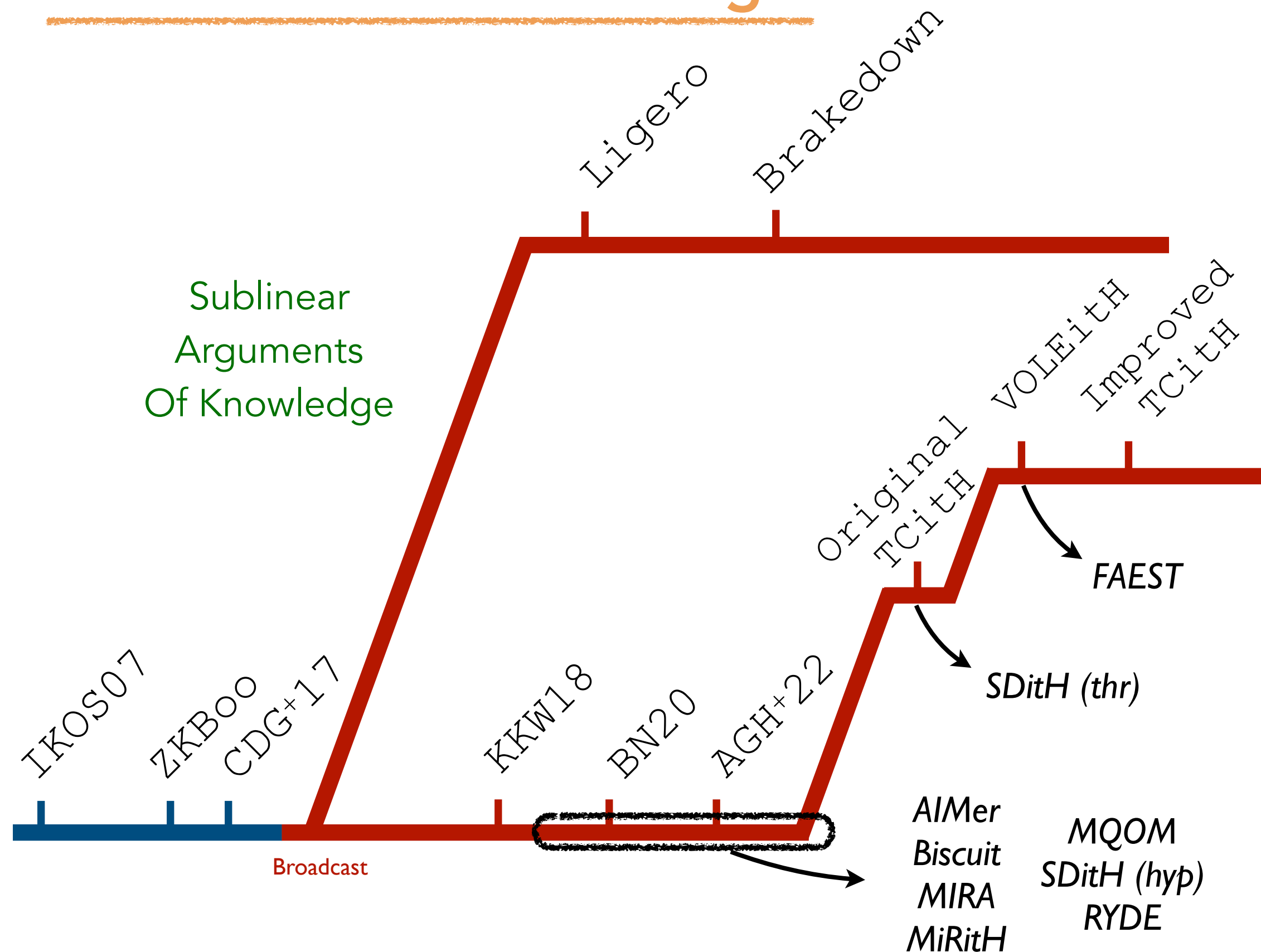




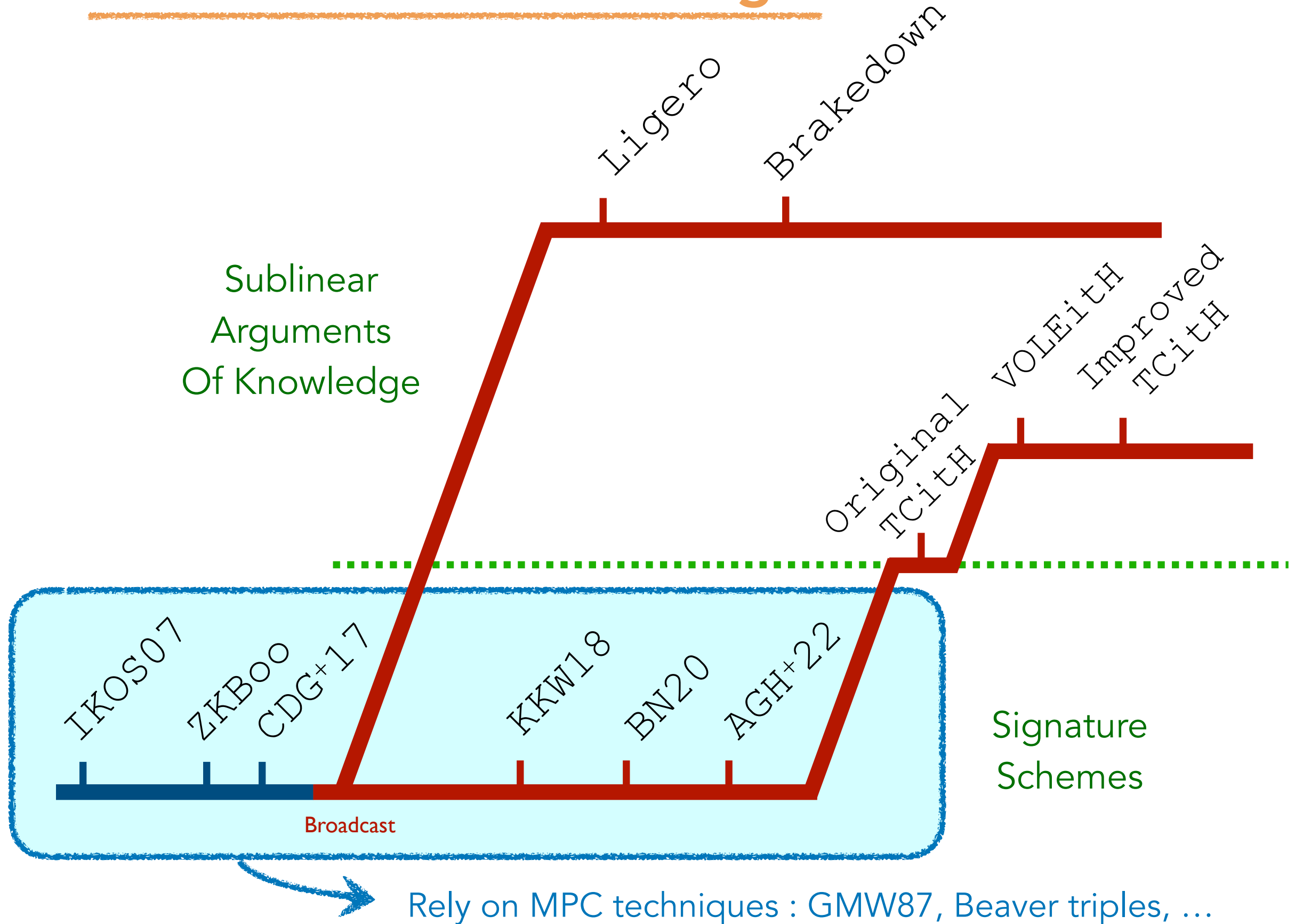
# MPC-in-the-Head Paradigm



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# MPC-in-the-Head Paradigm



# MPC-in-the-Head Paradigm

Can be interpreted as  
Polynomial IOP (Interactive  
Oracle Proof)

Sublinear  
Arguments  
Of Knowledge

IKOS07

ZKBoo

CDG<sup>+</sup>17

KKW18

BN20

AGH<sup>+</sup>22

Broadcast

Ligero

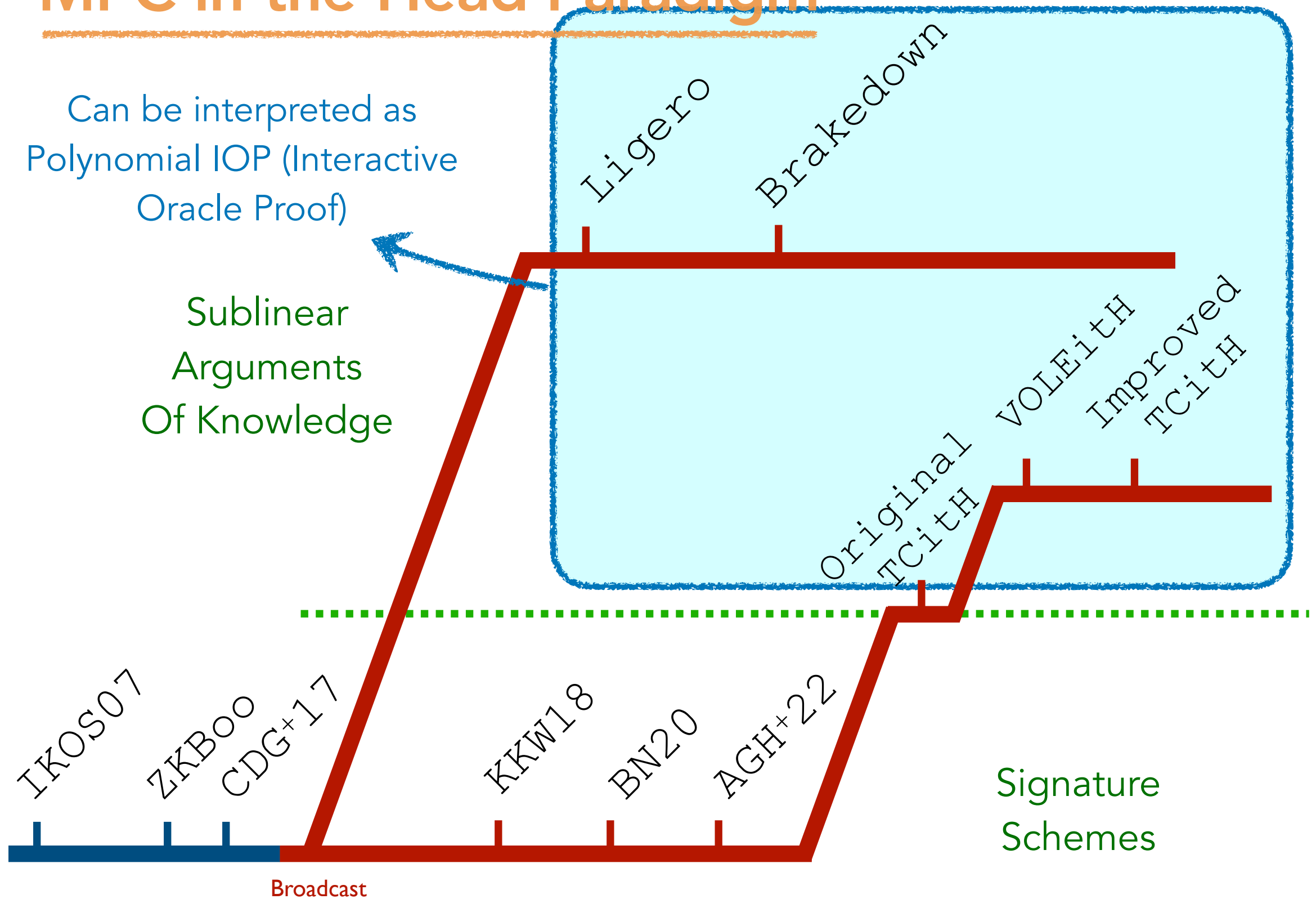
Brakedown

Original  
TCiTH

VOLEiTH

Improved  
TCiTH

Signature  
Schemes



# TCitH and VOLEitH Frameworks, in the *PIOP* formalism

(for signature schemes)

I know  $w_1, \dots, w_n$  such that

$$f(w_1, \dots, w_n) = 0$$

where  $f$  is a public **degree- $d$  polynomial**.

Prover

Prove it!

Verifier

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- ① For all  $i$ , sample a random degree- $\ell$  polynomial  $P_i(X)$  such that  $P_i(0) = w_i$
- ② Commit the polynomials  $P_1, \dots, P_n$

$\text{Com}(P_1, \dots, P_n)$

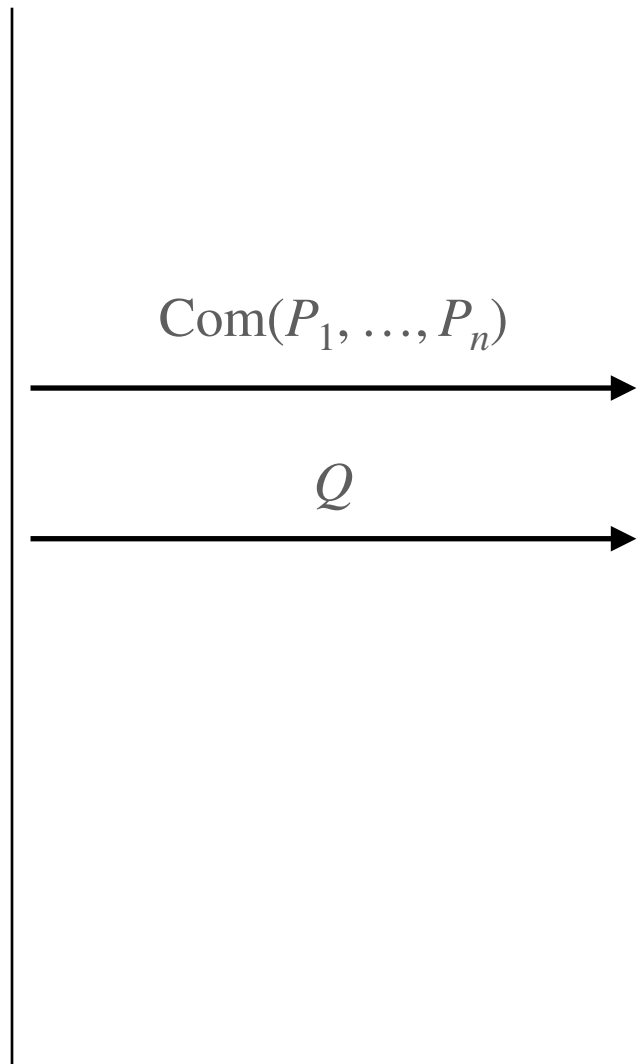
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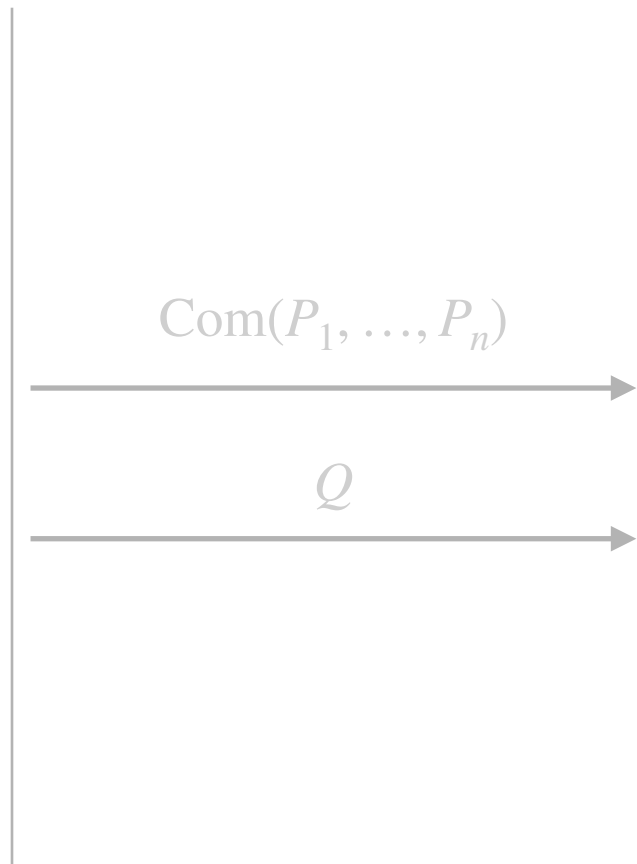
③ Reveal the polynomial  $Q(X)$  such that  $X \cdot Q(X) = f(P_1(X), \dots, P_n(X))$

Well-defined!

$$f(P_1(0), \dots, P_n(0)) = f(w_1, \dots, w_n) = 0$$

Prover

Verifier

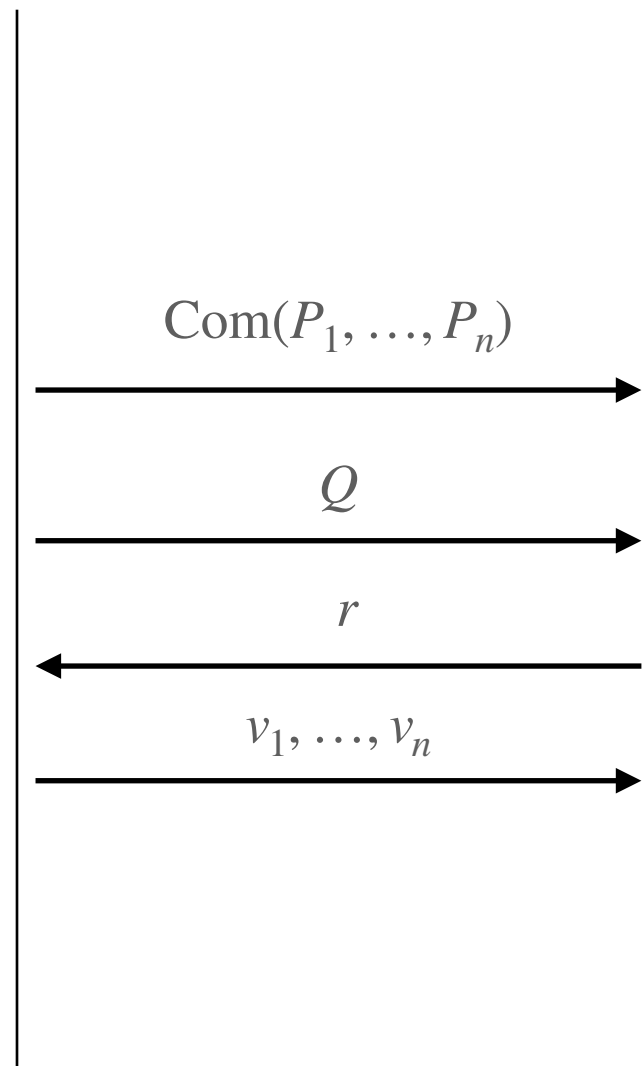




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- ⑤ Reveal the evaluation  $v_i := P_i(r)$  for all  $i$ .



- ④ Choose a random evaluation point  $r \in S \subset \mathbb{F}$

Prover

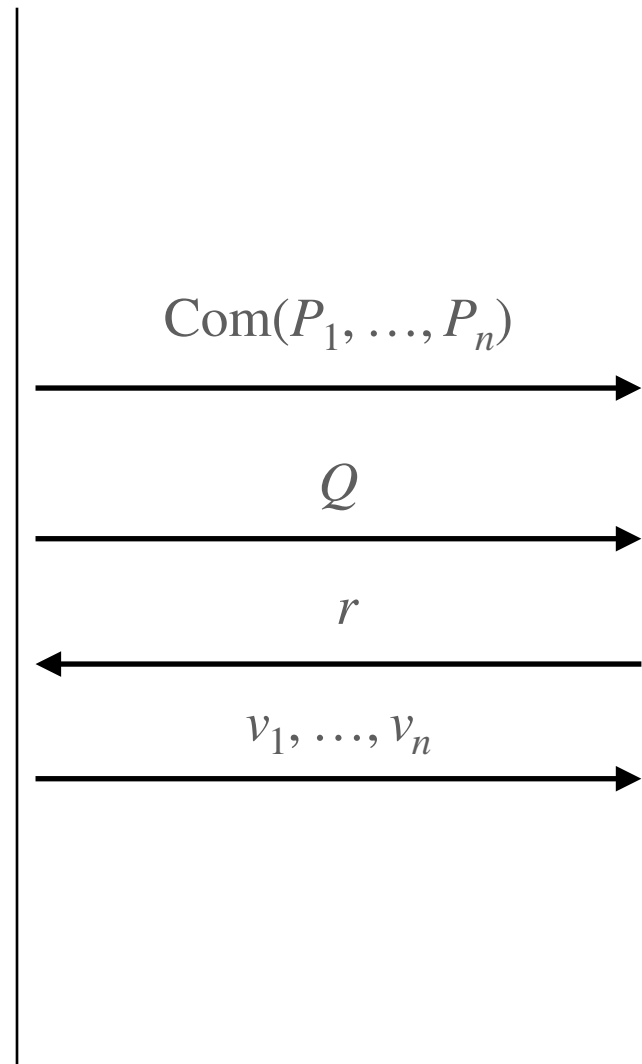
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Prover



- ④ Choose a random evaluation point  $r \in S \subset \mathbb{F}$

- ⑥ Check that  $v_1, \dots, v_n$  are consistent with the commitment.

Check that

$$r \cdot Q(r) = f(v_1, \dots, v_n)$$

Verifier

# TCitH and VOLEitH Frameworks, in the *PIOP* formalism

(for signature schemes)

- ① For all  $i$ , choose a degree- $\ell$  polynomial  $P_i(X)$ . We have  
 $f(P_1(0), \dots, P_n(0)) \neq 0$ .
- ② Commit the polynomials  $P_1, \dots, P_n$
- ③ Reveal the polynomial  $Q(X)$ . We know that  
 $X \cdot Q(X) \neq f(P_1(X), \dots, P_n(X))$
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## Soundness Analysis

$\text{Com}(P_1, \dots, P_n)$

$Q$

$r$

$v_1, \dots, v_n$

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Malicious Prover 🐱

Verifier

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Com( $P_1, \dots, P_n$ )

$Q$

$r$

## Soundness Analysis

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Check that

$$r \cdot Q(r) = f(v_1, \dots, v_n)$$

**Schwartz-Zippel Lemma:** Let  $P$  be a non-zero polynomial of degree  $\mu$ . We have

$$\Pr [P(r) = 0 \mid r \leftarrow_{\$} S] \leq \frac{\mu}{|S|}.$$

Since  $X \cdot Q(X) - f(P_1(X), \dots, P_n(X))$  is a degree- $(d \cdot \ell)$  polynomial, we have

$$\Pr[\text{verification passes}] \leq \frac{d \cdot \ell}{|S|}.$$

Verifier

# TCitH and VOLEitH Frameworks, in the PIOP formalism

(for signature schemes)

I know  $w_1, \dots, w_n$  such that

$$f(w_1, \dots, w_n) = 0$$

where  $f$  is a public **degree- $d$  polynomial**.

Prover

Prove it!

Verifier

$$\text{Soundness Error} = \frac{d \cdot \ell}{|S|}$$

Probability that a malicious prover  
can convince the verifier.

# TCitH and VOLEitH Frameworks, in the *PIOP* formalism

(for signature schemes)

I know  $w_1, \dots, w_n$  such that

$$\begin{cases} f_1(w_1, \dots, w_n) &= 0 \\ \vdots \\ f_m(w_1, \dots, w_n) &= 0, \end{cases}$$

where  $f_1, \dots, f_m$  are public **degree- $d$  polynomials**.

Prover

Prove it!

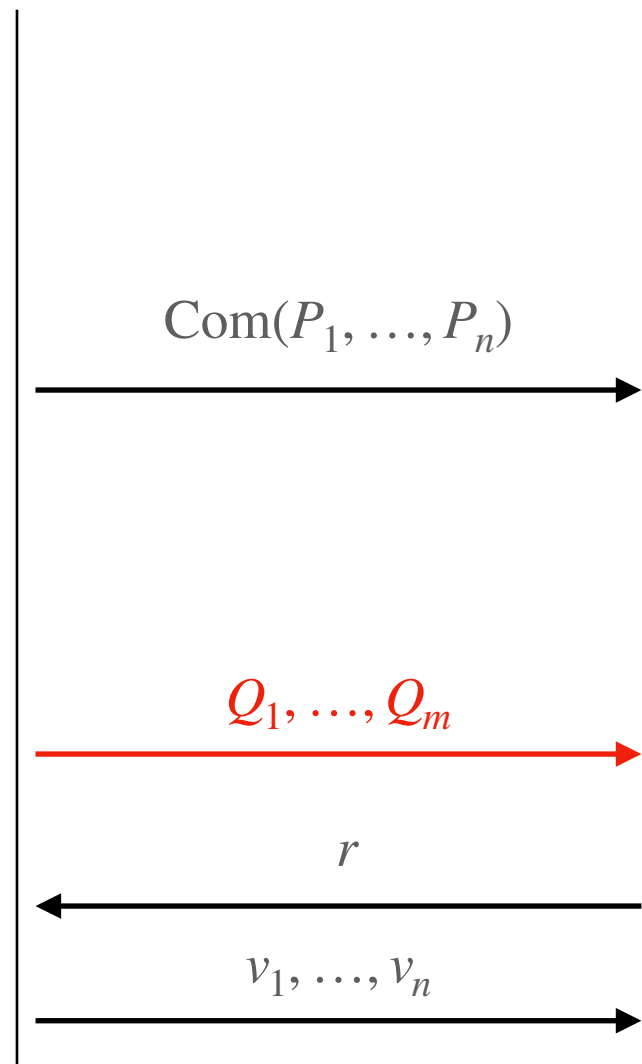
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Prover



- ④ Choose a random evaluation point  $r \in S \subset \mathbb{F}$
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Check that

$$r \cdot Q_1(r) = f_1(v_1, \dots, v_n)$$

...

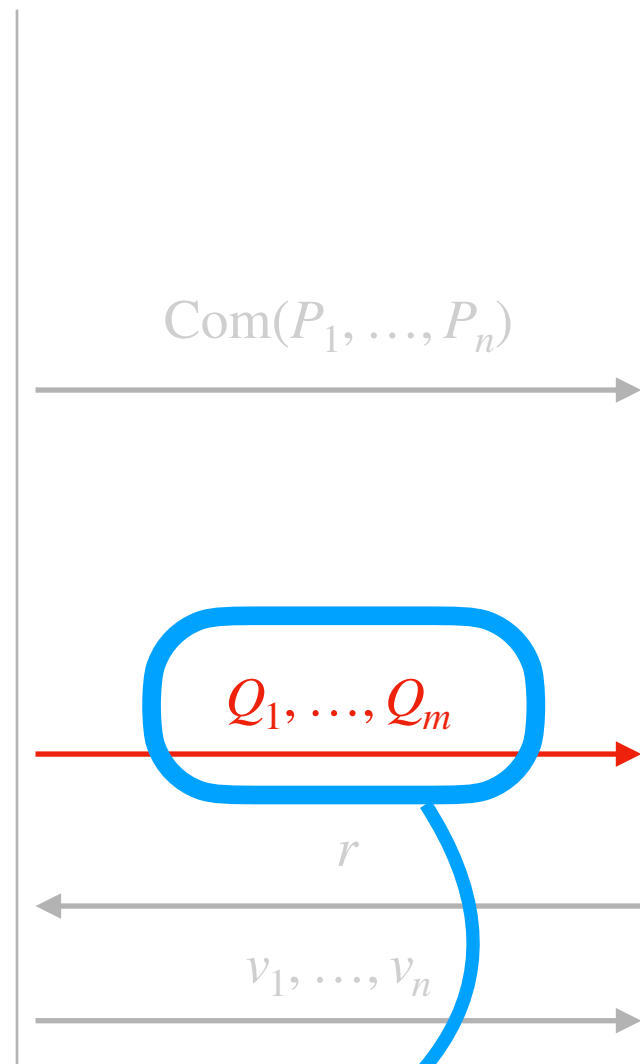
$$r \cdot Q_m(r) = f_m(v_1, \dots, v_n)$$



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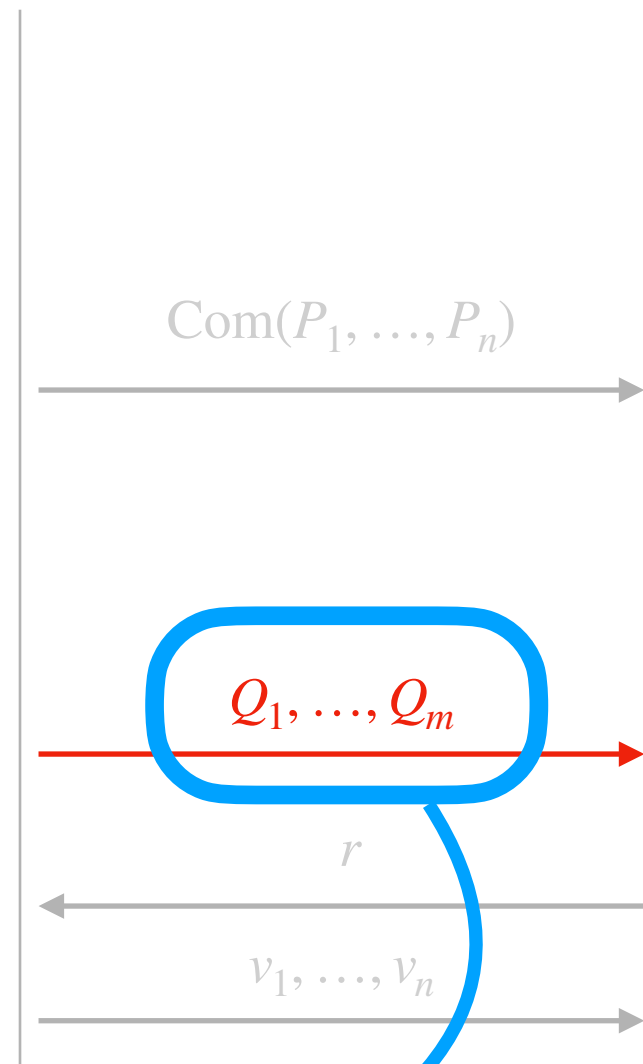
Costly! 🤔

Prover

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Check that
$$\begin{aligned} r \cdot Q_1(r) &= f_1(v_1, \dots, v_n) \\ &\vdots \\ r \cdot Q_m(r) &= f_m(v_1, \dots, v_n) \end{aligned}$$

Prover

Costly! 😓

Solution: batching

# TCitH and VOLEitH Frameworks, in the *PIOP* formalism

(for signature schemes)

- ① For all  $i$ , sample a random degree- $\ell$  polynomial  $P_i(X)$  such that  $P_i(0) = w_i$
- ② Commit the polynomials  $P_1, \dots, P_n$

$\text{Com}(P_1, \dots, P_n)$

Prover

Verifier

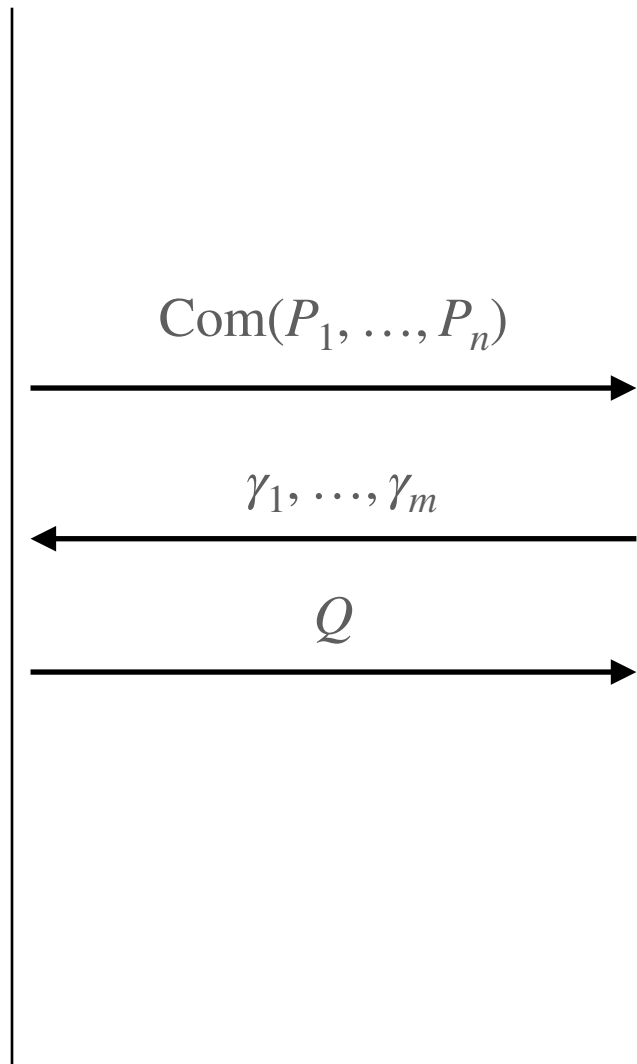
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④ Reveal the polynomial  $Q(X)$  such that

$$X \cdot Q(X) = \sum_{j=1}^m \gamma_j \cdot f_j(P_1(X), \dots, P_n(X))$$


③ Choose random coefficients  
 $\gamma_1, \dots, \gamma_m \xleftarrow{\$} \mathbb{F}$

Prover

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Well-defined!

Prover

Com( $P_1, \dots, P_n$ )

$\gamma_1, \dots, \gamma_m$

$Q$

③ Choose random coefficients

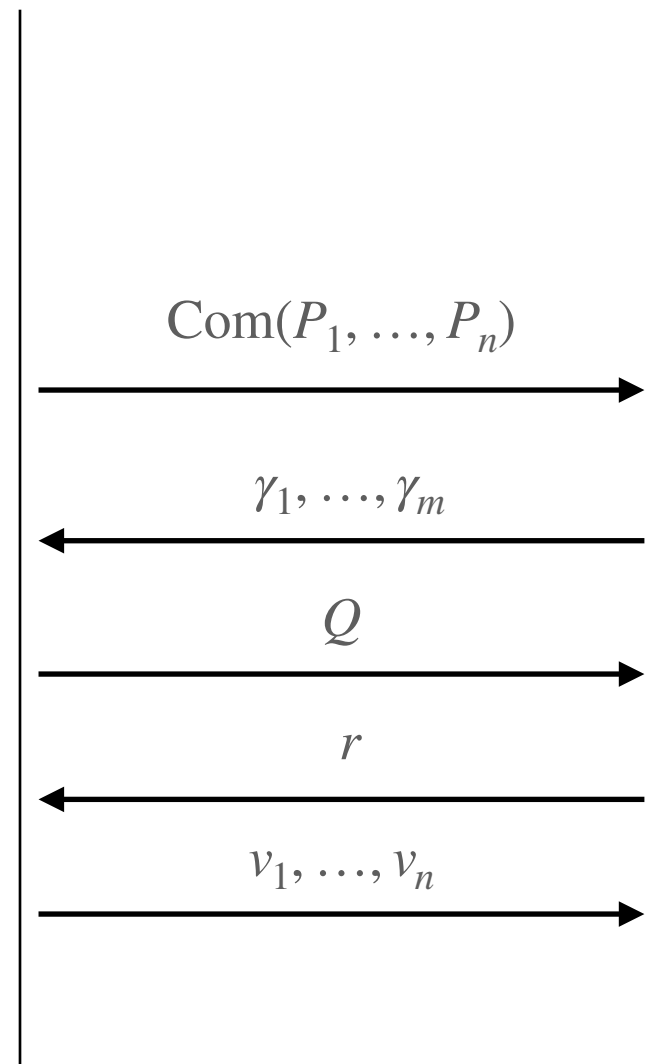
$\gamma_1, \dots, \gamma_m \xleftarrow{\$} \mathbb{F}$

$$\begin{aligned} \sum_{j=1}^m \gamma_j \cdot f_j(P_1(0), \dots, P_n(0)) &= \sum_{j=1}^m \gamma_j \cdot f_j(w_1, \dots, w_n) \\ &= \sum_{j=1}^m \gamma_j \cdot 0 = 0 \end{aligned}$$

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Prover

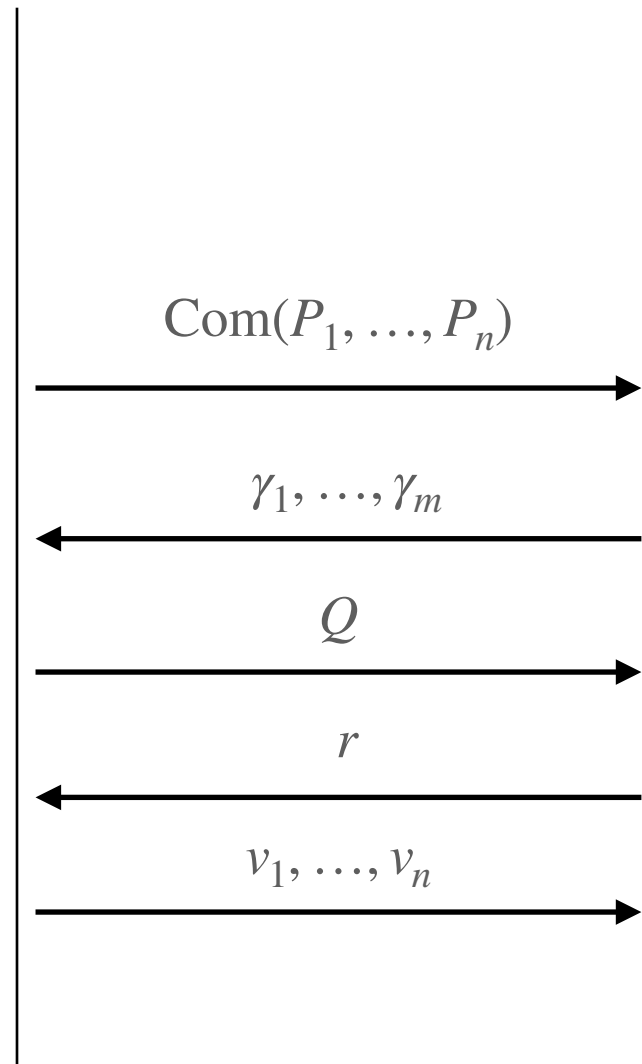
Verifier

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Prover



- ③ Choose random coefficients
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- ⑦ Check that  $v_1, \dots, v_n$  are consistent with the commitment.  
Check that

$$r \cdot Q(r) = \sum_{j=1}^m \gamma_j \cdot f_j(v_1, \dots, v_n)$$

Verifier

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(for signature schemes)

- ① For all  $i$ , choose a degree- $\ell$  polynomial  $P_i(X)$ . There exists  $j^*$  s.t.

$$f_{j^*}(P_1(0), \dots, P_n(0)) \neq 0.$$

- ② Commit the polynomials  $P_1, \dots, P_n$

- ④ Reveal the polynomial  $Q(X)$ . We know that
- $$X \cdot Q(X) \neq \sum_{j=1}^m \gamma_j \cdot f_j(P_1(X), \dots, P_n(X))$$

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## Soundness Analysis

Com( $P_1, \dots, P_n$ )

$\gamma_1, \dots, \gamma_m$

$Q$

$r$

$v_1, \dots, v_n$

- ③ Choose random coefficients

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Malicious Prover 🐱

Verifier



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- ⑦ Check that  $v_1, \dots, v_n$  are consistent with the commitment.

It is an inequality with **high probability** over the randomness of  $\gamma_1, \dots, \gamma_m$ , since we have

$$\sum_{j=1}^m \gamma_j \cdot f_j(P_1(0), \dots, P_n(0)) \neq 0$$

Malicious Prover 😈

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Verifier

Schwartz-Zippel Lemma: Since it is a degree- $(d \cdot \ell)$  relation,

$$\Pr[\text{verification passes}] \leq \frac{d \cdot \ell}{|S|}.$$

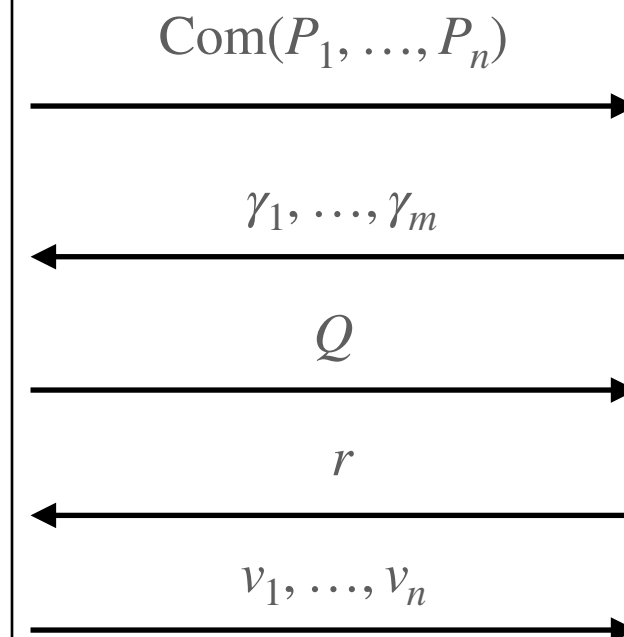
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Prover

## Zero-Knowledge Analysis



- ③ Choose random coefficients
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Verifier

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⑥ Reveal the evaluation  $v_i := P_i(r)$  for all  $i$ .

Revealing an evaluation of  $P_i(X)$   
leaks no information about  $w_i$ .

## Zero-Knowledge Analysis

$\text{Com}(P_1, \dots, P_n)$

$\gamma_1, \dots, \gamma_m$

$Q$

$r$

$v_1, \dots, v_n$

③ Choose random coefficients

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Verifier 🙄

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⑥ Reveal the evaluation  $v_i := P_i(r)$  for all  $i$ .

⚠ Leak information about the witness  $w_1, \dots, w_n$

## Zero-Knowledge Analysis

$\text{Com}(P_1, \dots, P_n)$

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$v_1, \dots, v_n$

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Verifier 🙄

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- ① For all  $i$ , sample a random degree- $\ell$  polynomial  $P_i(X)$  such that  $P_i(0) = w_i$

Sample a random degree- $(d\ell - 1)$  polynomial  $P_0(X)$

- ② Commit the polynomials  $P_0, P_1, \dots, P_n$

- ④ Reveal the polynomial  $Q(X)$  such that
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Prover

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$Q$

$r$

$v_0, v_1, \dots, v_n$

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Verifier 🧐

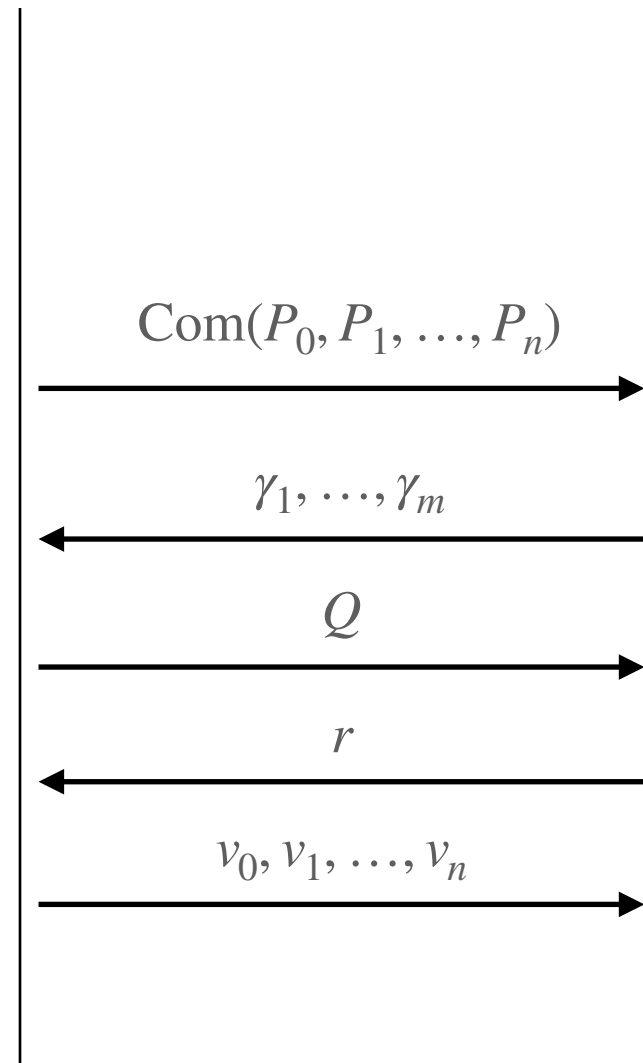
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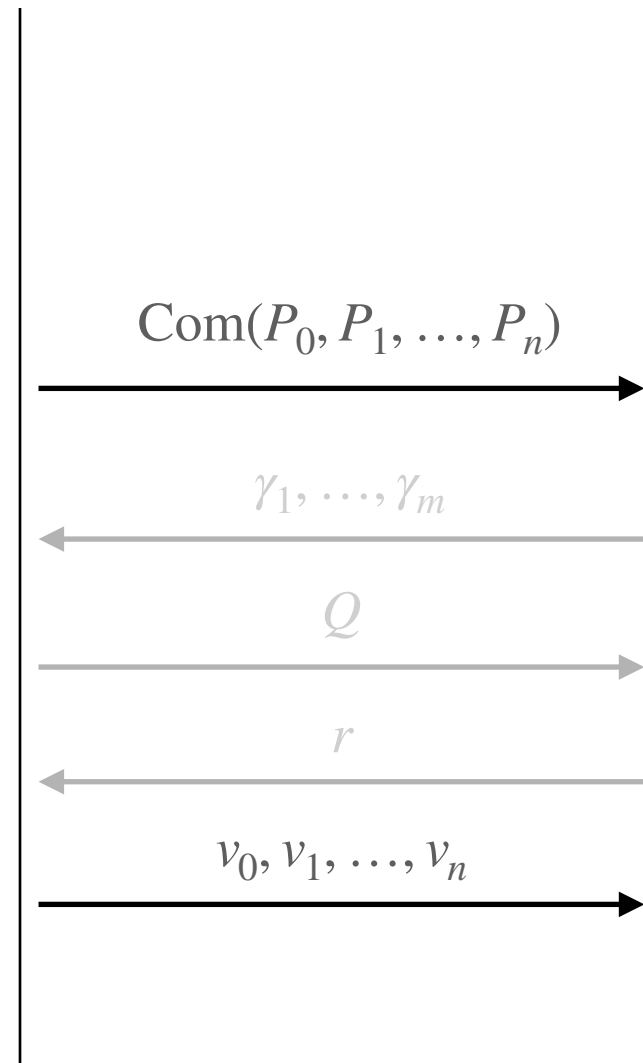
Verifier

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Prover

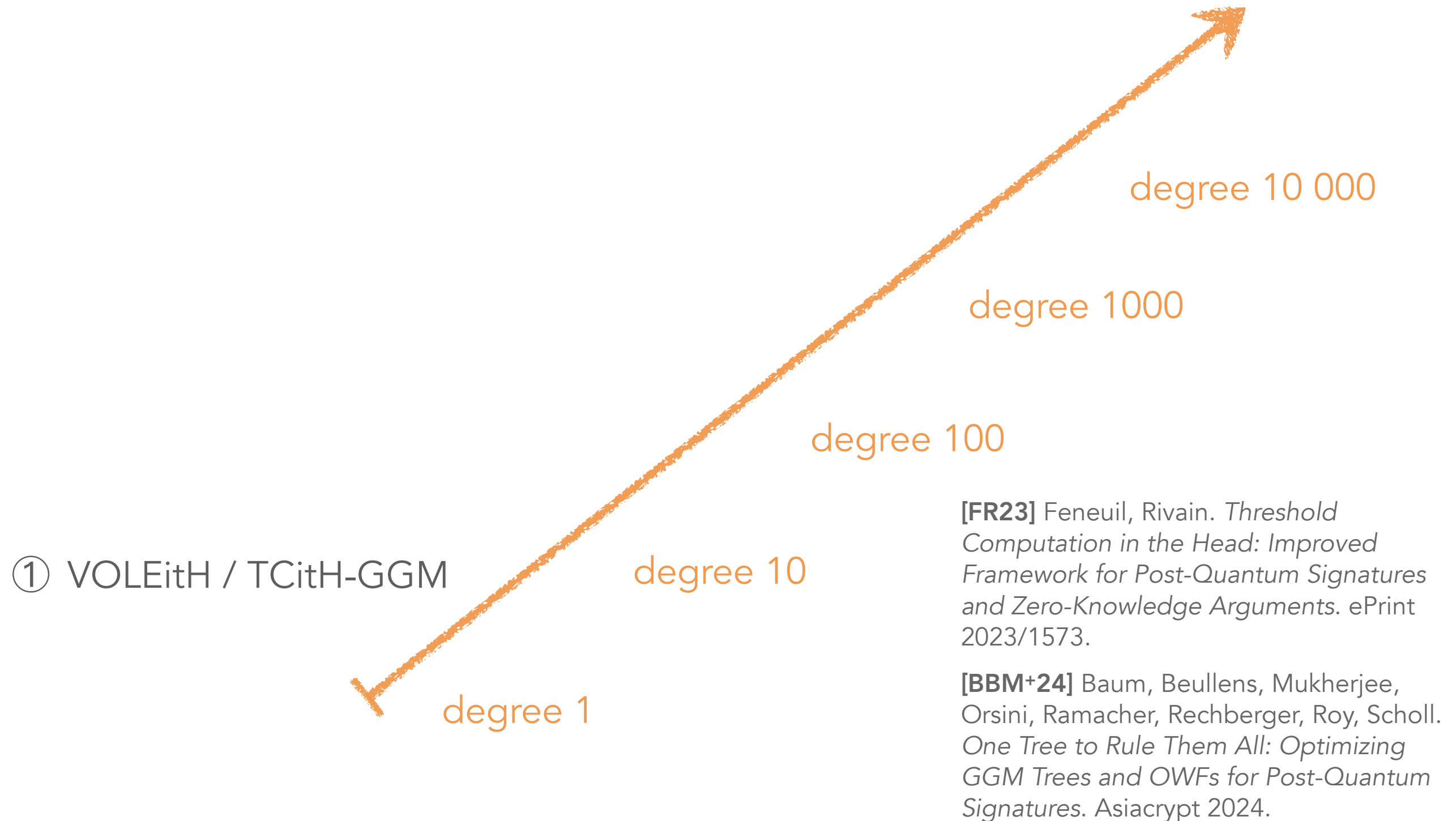


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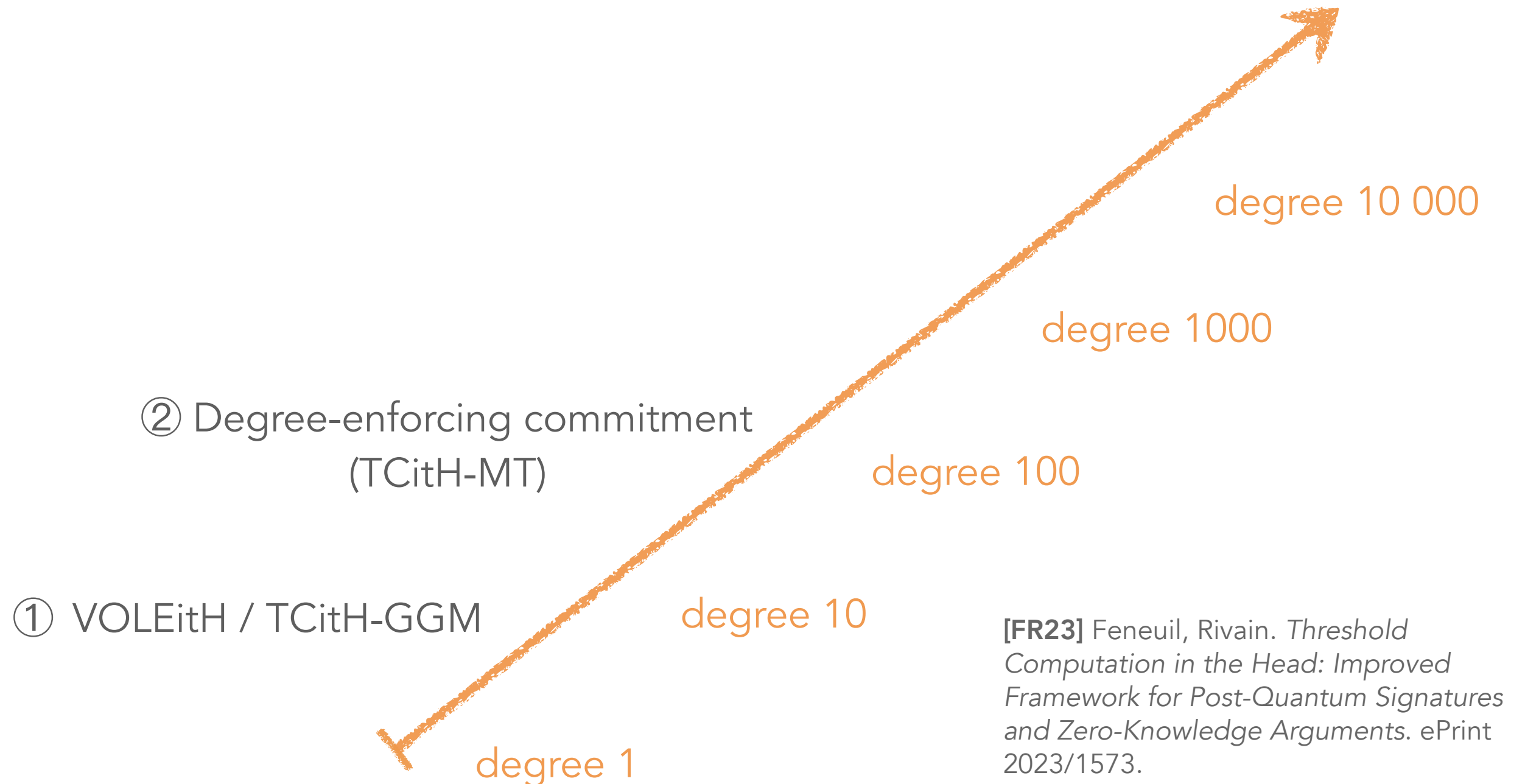
Verifier



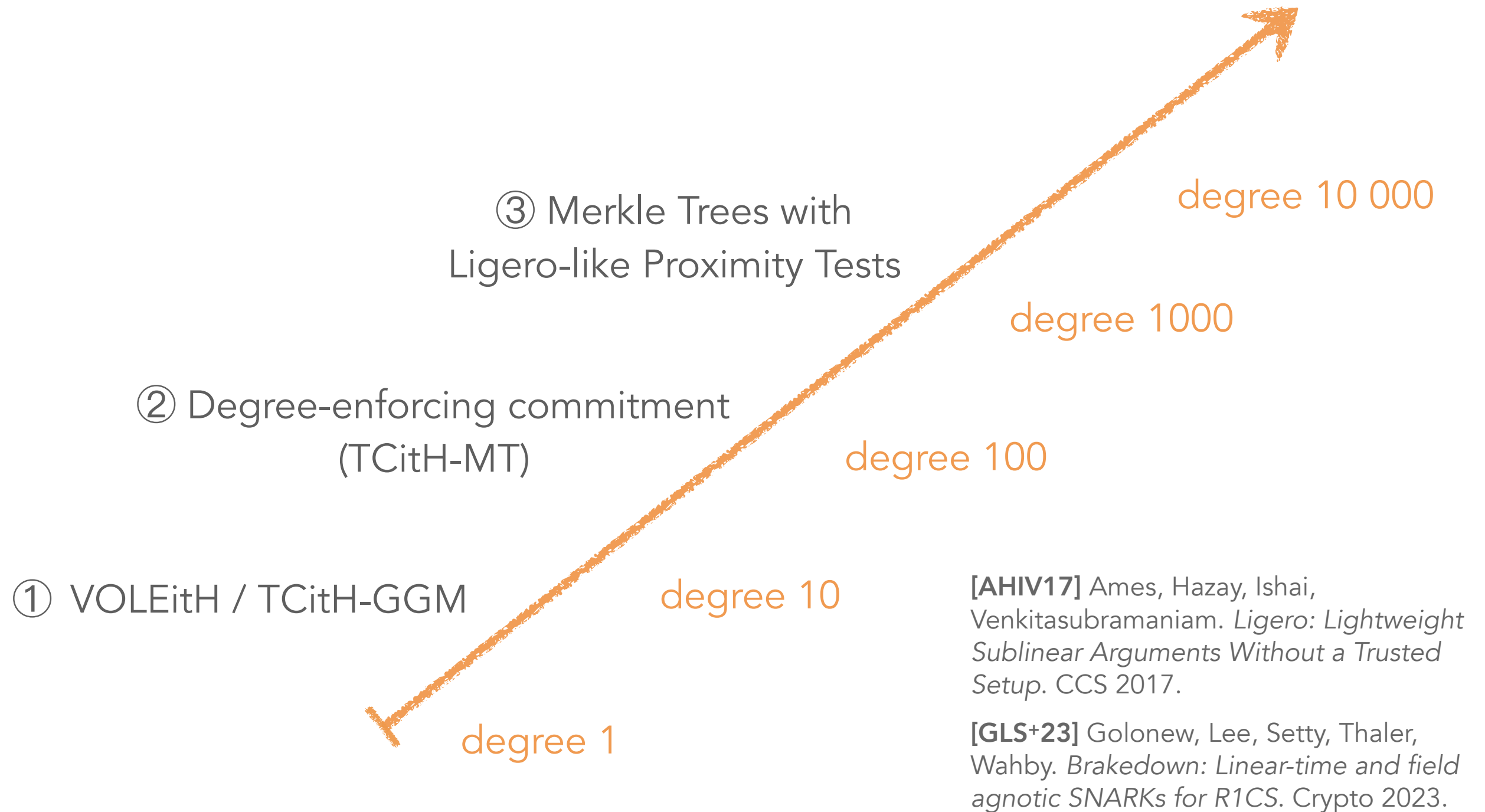
# How to commit to polynomials?



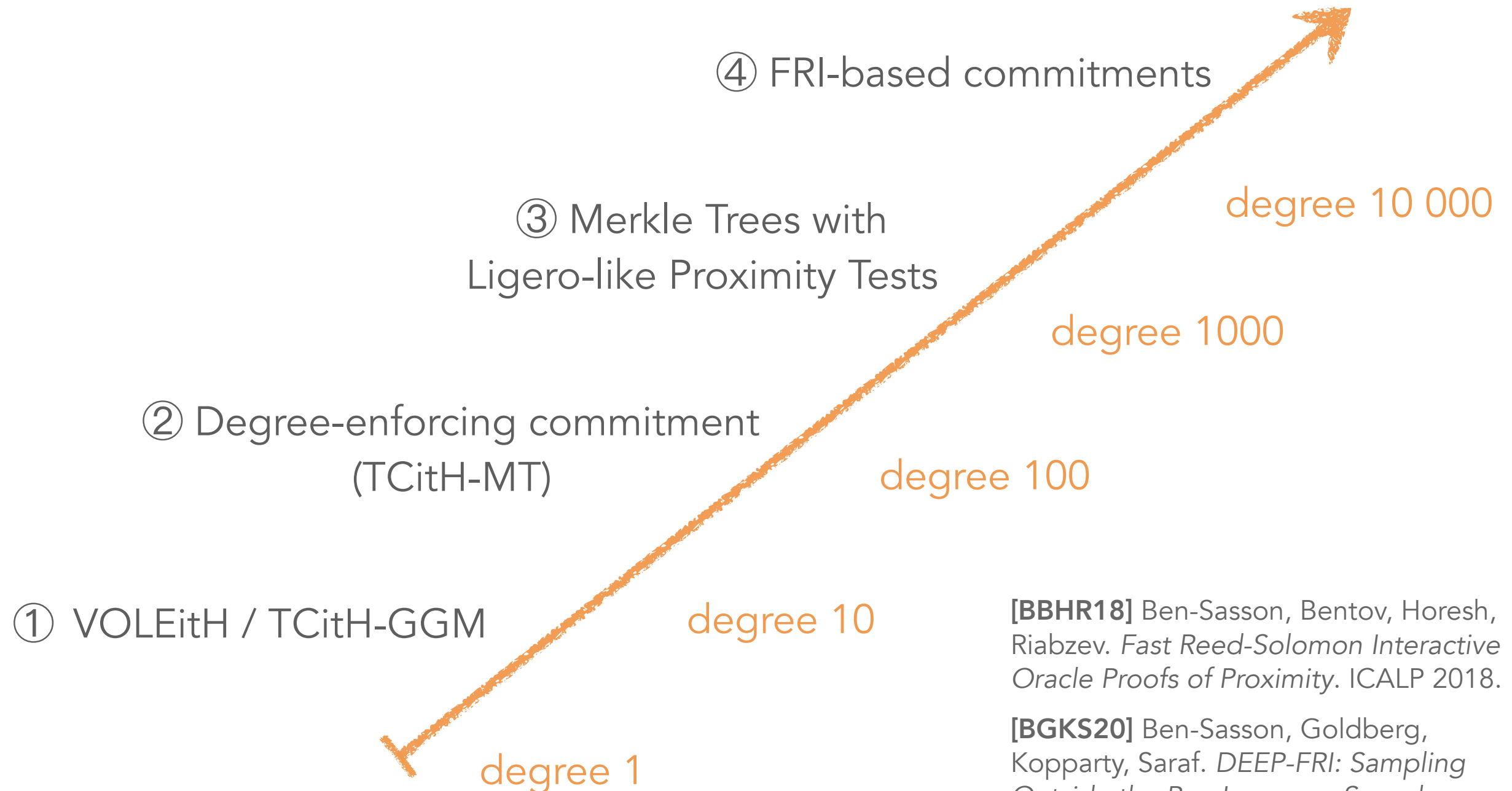
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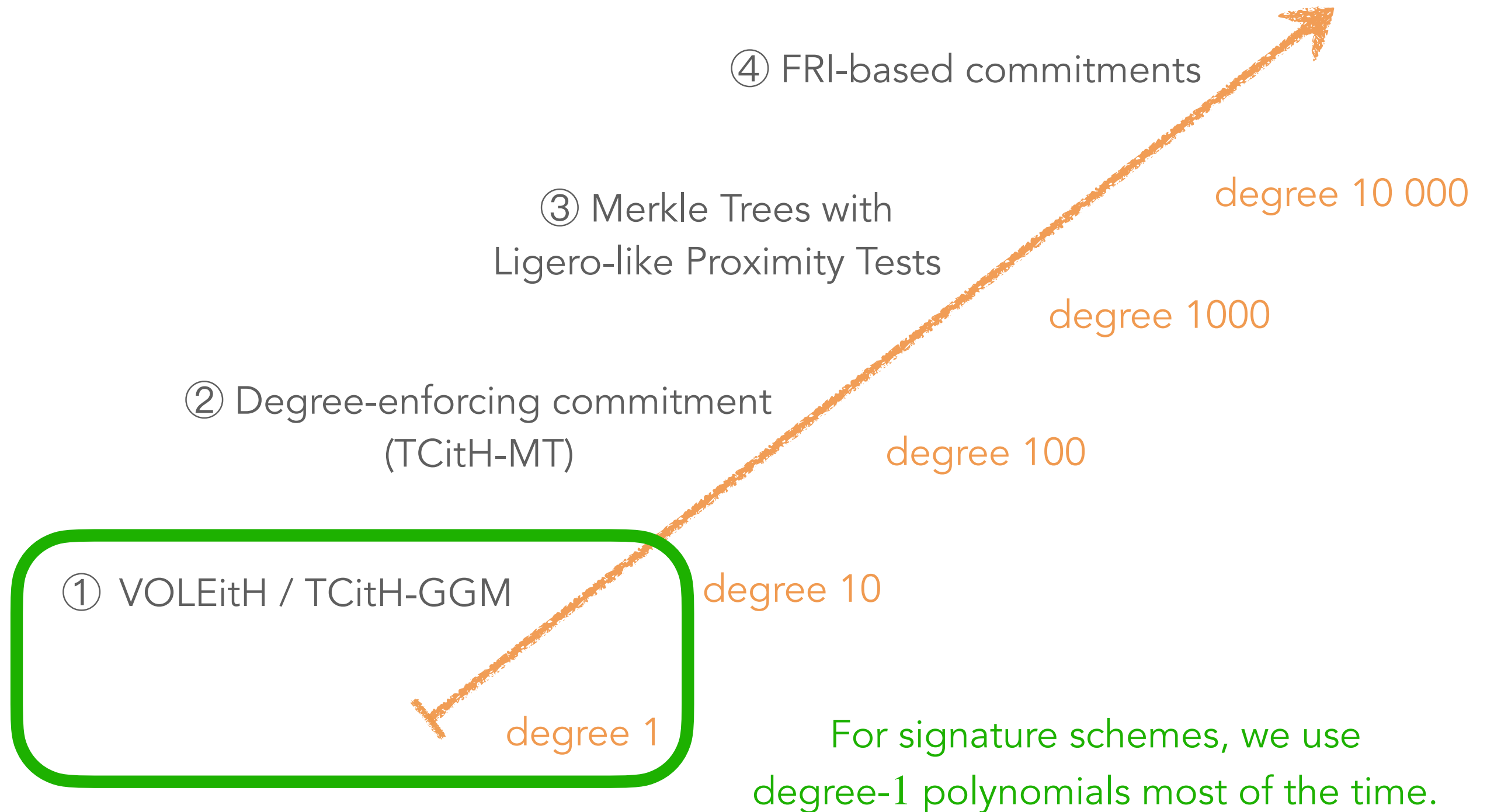
# How to commit to polynomials?



**[BBHR18]** Ben-Sasson, Bentov, Horesh, Riabzev. *Fast Reed-Solomon Interactive Oracle Proofs of Proximity*. ICALP 2018.

**[BGKS20]** Ben-Sasson, Goldberg, Kopparty, Saraf. *DEEP-FRI: Sampling Outside the Box Improves Soundness*. ITCS 2020.

# How to commit to polynomials?



# Committing to a Polynomial using a Seed Tree

Public data: Let us

- have  $N$  distinct values  $e_1, \dots, e_N$ , and
- define  $R_i$  such that  $R_i(0) = 1$  and  $R_i(e_i) = 0$ , for all  $i$  in  $\{1, \dots, N\}$ .

We want to build and commit a **random degree-1 polynomial**  $P$ . We sample  $N$  values  $r_1, \dots, r_N$  and define  $P$  as

$$P := \sum_i r_i \cdot R_i.$$

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Commitment:

We commit to each value  $r_i$  **independently**.



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Opening  $P(e_{i^*})$ :

Reveal all  $\{r_i\}_{i \neq i^*}$ .

$$\begin{aligned} P(e_{i^*}) &= \sum_{i \neq i^*} r_i \cdot R_i(e_{i^*}) + r_{i^*} \cdot \underbrace{R_{i^*}(e_{i^*})}_{=0} \\ &= \sum_{i \neq i^*} r_i \cdot R_i(e_{i^*}) \end{aligned}$$

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The opening leaks *nothing* about  $P$ , except  $P(e_{i^*})$ .

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The opening leaks *nothing* about  $P$ , except  $P(e_{i^*})$ .

 Can be adapted to any degree.

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Costly! 😓

Opening  $P(e_{i^*})$ :  
Reveal all  $\{r_i\}_{i \neq i^*}$ .

The opening leaks *nothing* about  $P$ , except  $P(e_{i^*})$ .

🔧 Can be adapted to any degree.

$$\begin{aligned} P(e_{i^*}) &= \sum_{i \neq i^*} r_i \cdot R_i(e_{i^*}) + r_{i^*} \cdot \underbrace{R_{i^*}(e_{i^*})}_{=0} \\ &= \sum_{i \neq i^*} r_i \cdot R_i(e_{i^*}) \end{aligned}$$

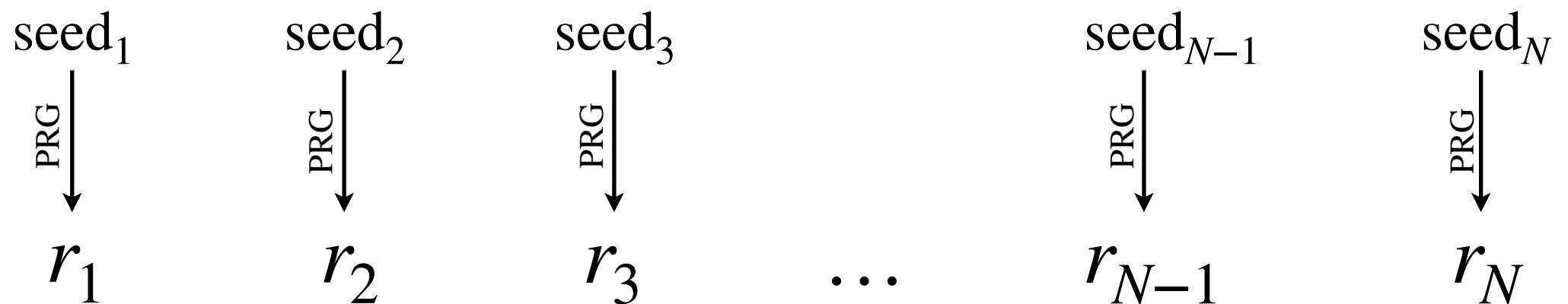
# Committing to a Polynomial using a Seed Tree

[GGM84] Goldreich, Goldwasser, Micali: "How to construct random functions (extended extract)" (FOCS 1984)

 $r_1$  $r_2$  $r_3$  $\dots$  $r_{N-1}$  $r_N$

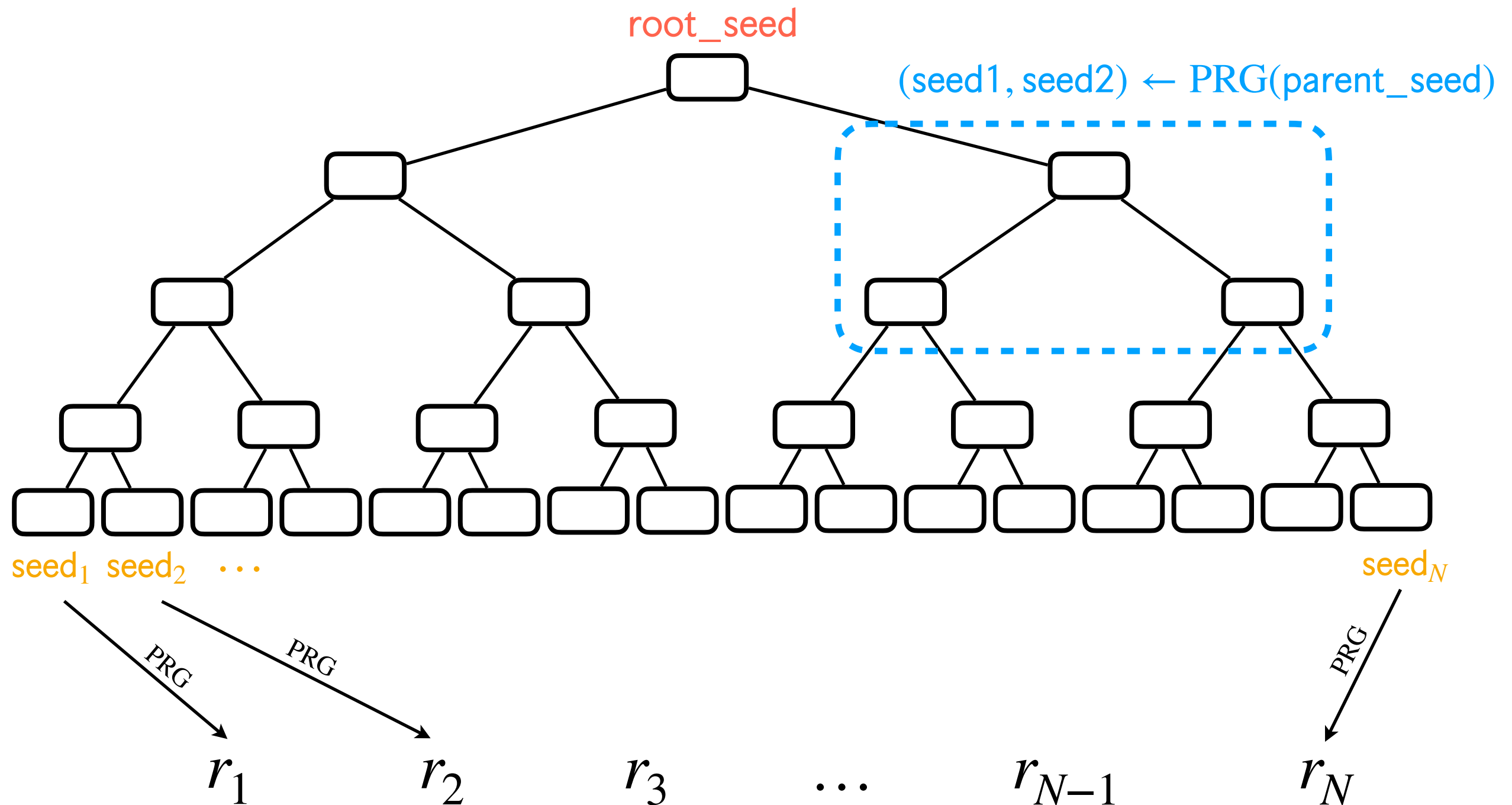
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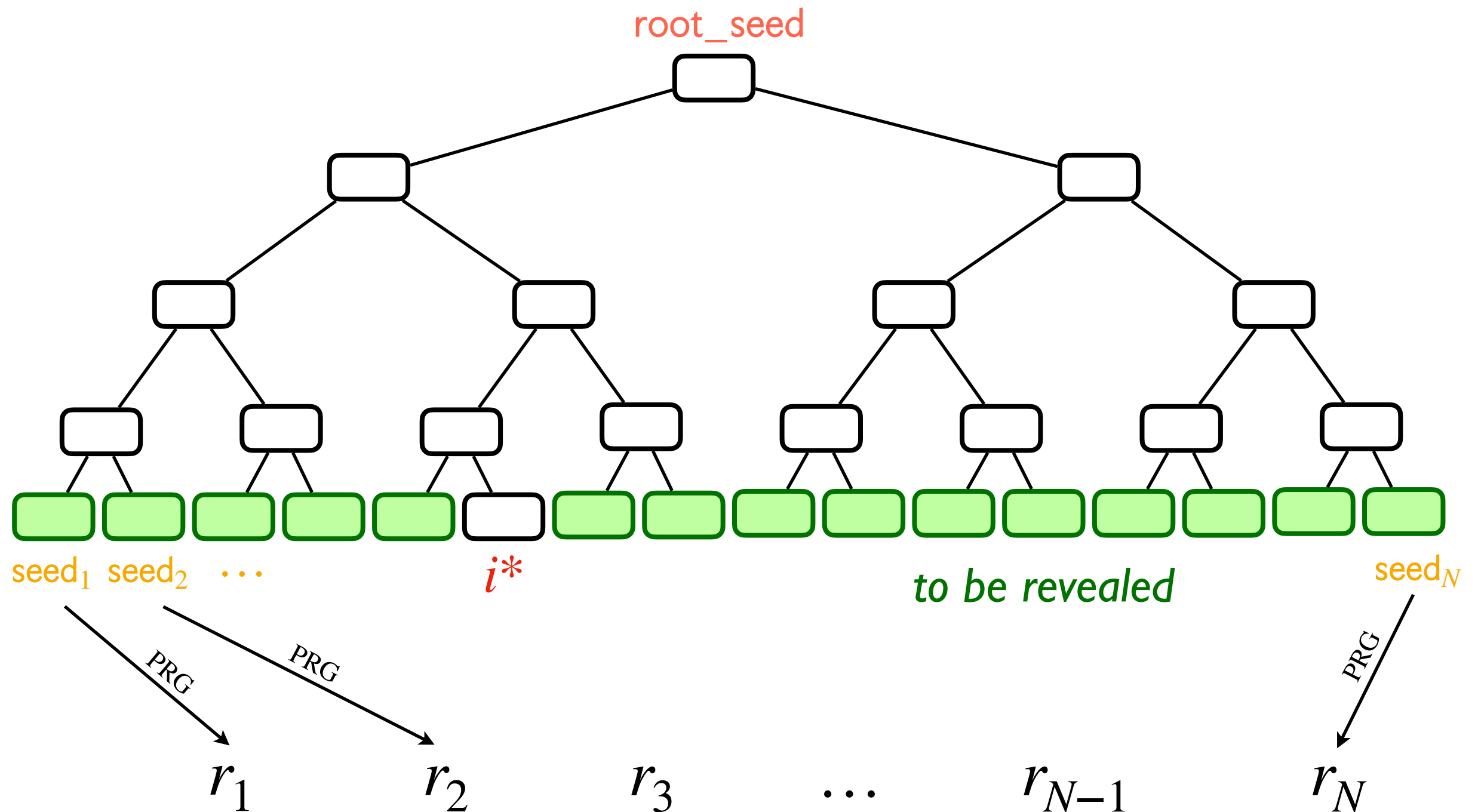
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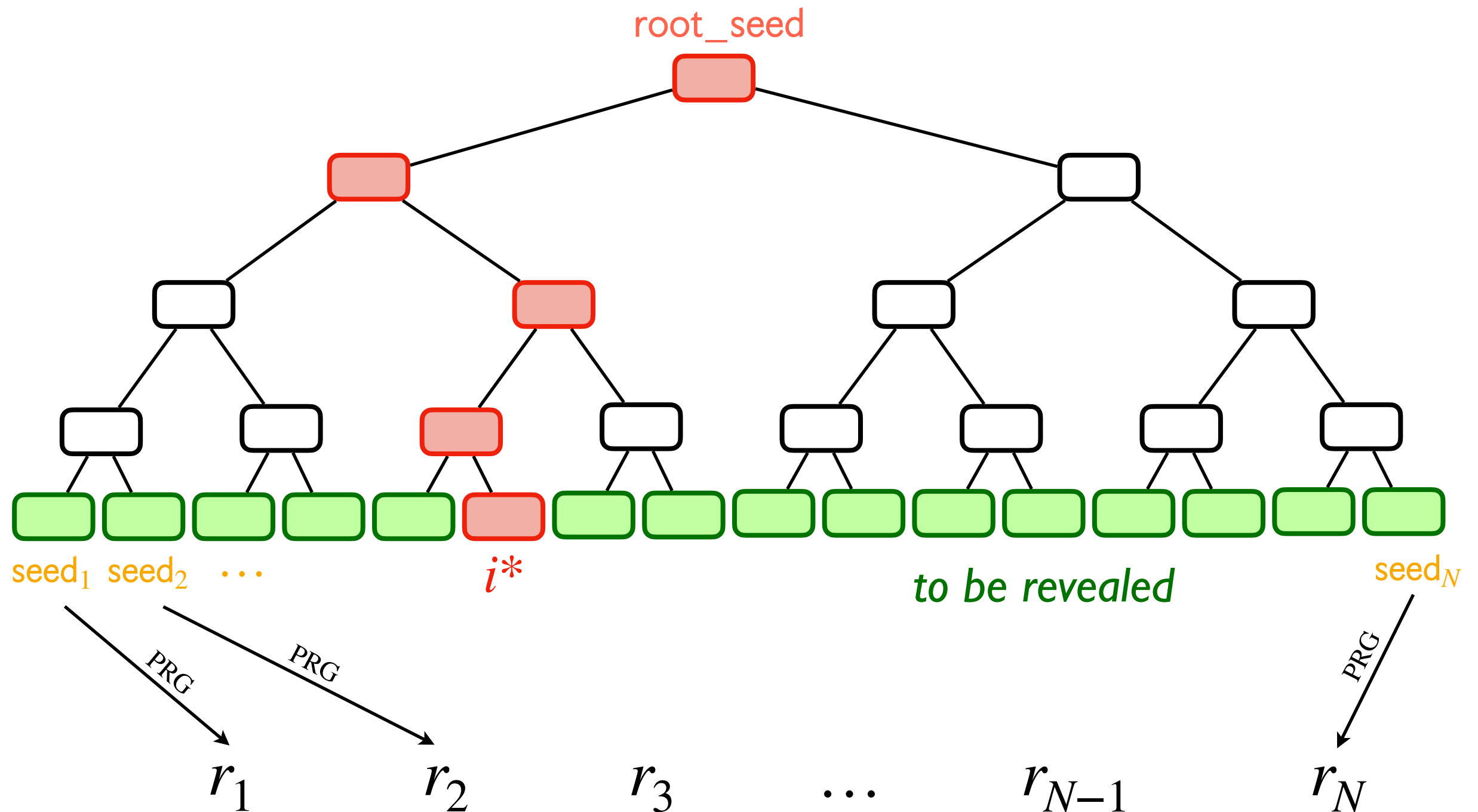
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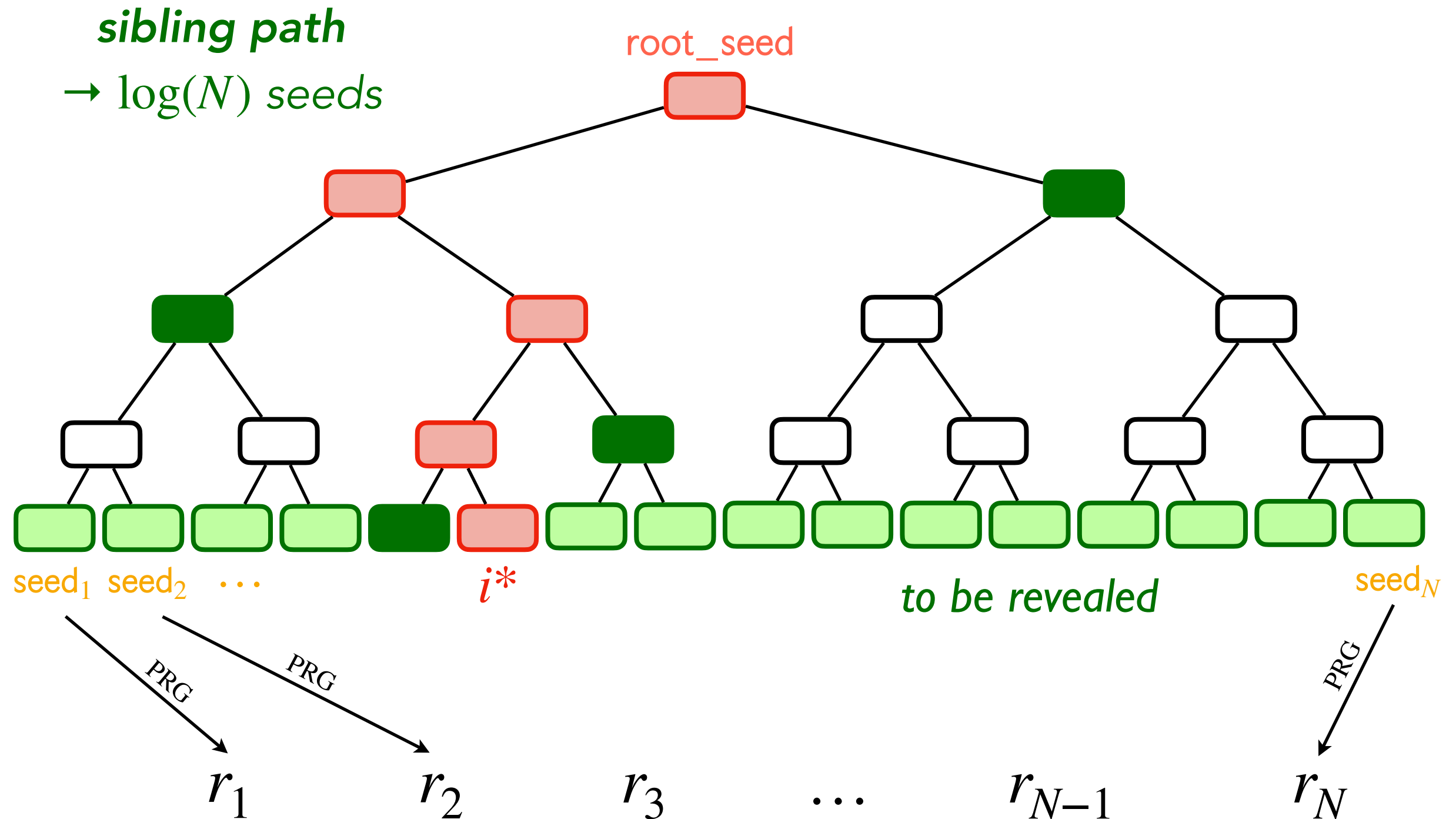
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To open  $P(e_{i^*})$  for  $i^* \in \{1, \dots, N\}$ , we just need to open  $\tilde{P}(e_{i^*})$  and to compute

$$P(e_{i^*}) \leftarrow \tilde{P}(e_{i^*}) + \Delta w.$$

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Complexity in  $O(N)$  to have a soundness error of  $\frac{d}{N}$  (degree-1 polynomials).

*How to have a negligible soundness?*



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3. VOLEitH Approach. Embed  $\tau$  polynomials over  $\mathbb{F}_q$  into a unique polynomial over  $\mathbb{F}_{q^\tau}$ , for which we will be able to open  $N^\tau$  evaluations. Soundness error of  $\frac{d}{N^\tau}$ .

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I know  $w_1, \dots, w_n$  such that

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where  $f_1, \dots, f_m$  are public **degree- $d$  polynomials**.

Prover

Prove it!

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Prover

*Fiat-Shamir  
Transformation*



**Signature Scheme**

Prove it!

Verifier

# Building Signature Schemes

The **public key** is composed of the **degree- $d$  polynomials**  $f_1, \dots, f_m$ .

The **private key** is the **witness**  $w := (w_1, \dots, w_n)$  that satisfies

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When  $f_1, \dots, f_n$  are random degree-2 polynomials,

## Signature relying on the Multivariate Quadratic (MQ) problem

[FR23] Feneuil, Rivain. *Threshold Computation in the Head: Improved Framework for Post-Quantum Signatures and Zero-Knowledge Arguments*. ePrint 2023/1573.

[BBM<sup>+</sup>24] Baum, Beullens, Mukherjee, Orsini, Ramacher, Rechberger, Roy, Scholl. *One Tree to Rule Them All: Optimizing GGM Trees and OWFs for Post-Quantum Signatures*. Asiacrypt 2024.

# Building Signature Schemes

Proving that the private key  $(x_1, \dots, x_{n'}, q_0, \dots, q_{t-1})$  satisfies

$$\begin{cases} y - Hx &= 0, \\ x_1 \cdot Q(1) &= 0 \\ &\vdots \\ x_n \cdot Q(n) &= 0. \end{cases} \quad \text{Imply that } \text{wt}_H(x) \leq t.$$

with  $x := (x_1, \dots, x_{n'})$  and  $Q(X) := X^t + \sum_{i=0}^{t-1} q_i \cdot X^i$ , where  $(H, y)$  is the public key.

## Signature relying on the Syndrome Decoding (SD) problem

[FJR23] Feneuil, Joux, Rivain. *Syndrome Decoding in the Head: Shorter Signatures from Zero-Knowledge Proofs*. Crypto 2022.

[FR23] Feneuil, Rivain. *Threshold Computation in the Head: Improved Framework for Post-Quantum Signatures and Zero-Knowledge Arguments*. ePrint 2023/1573.

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Proving that the private key  $(L, R) \in \mathbb{F}^{n \times r} \times \mathbb{F}^{r \times m}$  satisfies

$$y - Hx = 0 \text{ with } x = \text{vectorialize}(L \cdot R)$$

where  $(H, y)$  is the public key.

## Signature relying on the MinRank problem

**[BFG+24]** Bidoux, Feneuil, Gaborit, Neveu, Rivain. *Dual Support Decomposition in the Head: Shorter Signatures from Rank SD and MinRank*. Asiacrypt 2024.



# Signature Sizes with the New Frameworks

	<i>NIST Submission</i>		<i>New frameworks + Opt.*</i>
<i>Security Assumptions</i>	<i>Candidate Name</i>	<i>Sizes</i>	<i>Sizes</i>
AES Block cipher	FAEST	4.6 KB	≈ 4.1-4.5 KB
AIM Block cipher	AIMer	3.8 KB	≈ 3.0 KB
MinRank	MiRitH, MIRA	5.6 KB	≈ 2.9-3.1 KB
Multivariate Quadratic	MQOM	6.3 KB	≈ 2.5-3.0 KB
Permuted Kernel	PERK	5.8 KB	≈ 3.8 KB
Rank Syndrome Decoding	RYDE	6.0 KB	≈ 2.9 KB
Structured MQ	Biscuit	5.7 KB	≈ 3.0 KB
Syndrome Decoding	SDitH	8.3 KB	≈ 5.0 KB

*Running times of few ten millions of cycles.*

\* [BBM+24] Baum, Beullens, Mukherjee, Orsini, Ramacher, Rechberger, Roy, Scholl. *One Tree to Rule Them All: Optimizing GGM Trees and OWFs for Post-Quantum Signatures*. Asiacrypt 2024.

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- Very versatile and tunable
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*ring signatures, threshold signatures,  
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**Thank you for your attention.**